

AUDIT HIGHLIGHTS



The State Auditor's Office

Audit Highlights

January 2017-December 2018

Lisa R. Collier, CPA, CFE, CIDA
First Assistant State Auditor

86th Legislature



The State Auditor's Office

Audit Highlights: January 2017 – December 2018

Overview

The State Auditor's Office developed *Audit Highlights* to quickly identify work that our office performed at state agencies, higher education institutions, and non-state entities since our last *Audit Highlights* report. This document includes reports released from January 2017 through December 2018.

Each report is hyperlinked to the full report on the State Auditor's Office's Web site. Our online report search tool at: <https://www.sao.texas.gov> may be used to locate reports issued prior to January 2017.

This document is organized in four sections:

Section 1 lists audit and review reports by General Appropriations Act article; self-directed, semi-independent agencies (SDSI); and non-state entities. Section 1 lists agencies and higher education institutions in the order in which they appear in the General Appropriations Act.

Section 2 lists audit and review reports grouped by subject matter, including:

- Benefits Proportional Requirements
- Contracting
- External Audit Services
- Financial Processes
- Financial Statement Opinion
- Foster Care
- Historically Underutilized Business Program
- Implementation of Prior Recommendations
- Medicaid Managed Care
- Performance Measures
- Public Funds Investment Act and Investment Reporting
- Quality Assurance Team Projects
- Statewide Single Audit
- Texas Economic Development Act

Section 3 lists audit and review reports that include priority rated findings.

Section 4 lists reports issued by the State Auditor's Office's State Classification Team.

State Auditor's Office Contact Information

First Assistant State Auditor Lisa R. Collier, CPA, CFE, CIDA, and the State Auditor's Office personnel are available as a resource to the Legislature on any of our reports.

For additional information regarding any report, please contact:



Verma Elliott, Assistant State Auditor, (512) 936-9500, verma.elliott@sao.texas.gov



State Auditor's Office Web site: <https://www.sao.texas.gov>



Address: Robert E. Johnson, Sr. Building, 1501 North Congress Ave., Austin, TX 78701





Audit Highlights: January 2017 – December 2018

Issue Ratings

Auditors use professional judgement to rate the audit findings identified in certain audit reports. For each report, the issue ratings are summarized in the report chapters/sub-chapters. Auditors determine the ratings based on the degree of risk or effect of the findings in relation to the audit objective(s).

LOW



The audit identified strengths that support the audited entity's ability to administer the program(s)/function(s) audited or the issues identified do not present significant risks or effects that would negatively affect the audited entity's ability to effectively administer the program(s)/function(s) audited.

MEDIUM



Issues identified present risks or effects that if not addressed could moderately affect the audited entity's ability to effectively administer the program(s)/function(s) audited. Action is needed to address the noted concern(s) and reduce risks to a more desirable level.

HIGH



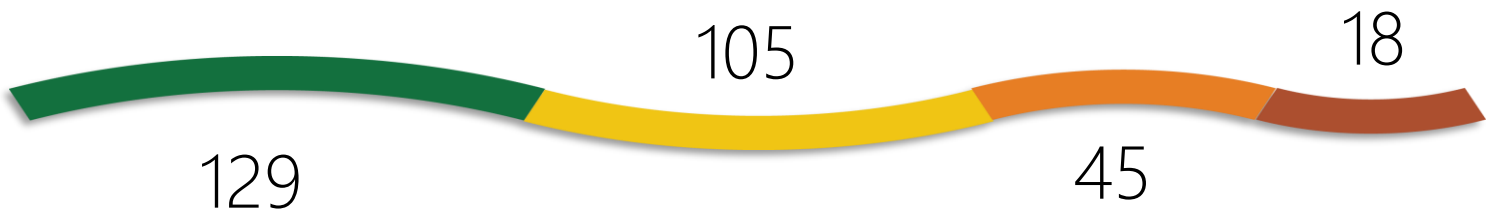
Issues identified present risks or effects that if not addressed could substantially affect the audited entity's ability to effectively administer the program(s)/function(s) audited. Prompt action is essential to address the noted concern(s) and reduce risks to the audited entity.

PRIORITY



Issues identified present risks or effects that if not addressed could critically affect the audited entity's ability to effectively administer the program(s)/function(s) audited. Immediate action is required to address the noted concern(s) and reduce risks to the audited entity.

Issue Ratings from Jan. 2017 through Dec. 2018





Audit Highlights: January 2017 – December 2018

Table of Contents

Section 1 - Audit and Review	1
Article I: General Government	2
Commission on the Arts	3
Office of the Attorney General.....	3
Cancer Prevention and Research Institute of Texas.....	3
Office of the Comptroller of Public Accounts	3
Commission on State Emergency Communications.....	4
Employees Retirement System.....	4
Texas Facilities Commission.....	5
Public Finance Authority.....	5
Office of the Governor	6
Department of Information Resources	6
State Office of Risk Management	6
Veterans Commission.....	6
Article II: Health and Human Services	7
Department of Aging and Disability Services.....	8
Department of Assistive and Rehabilitative Services.....	8
Department of Family and Protective Services	8
Department of State Health Services.....	9
Health and Human Services Commission	10
Article III: Education	12
Texas Education Agency.....	13





Audit Highlights: January 2017 – December 2018

Teacher Retirement System.....	14
Higher Education Coordinating Board	15
The University of Texas at Arlington	15
The University of Texas at Austin	16
The University of Texas at Dallas.....	16
The University of Texas at El Paso.....	16
The University of Texas Rio Grande Valley	17
The University of Texas at San Antonio	17
Texas A&M University.....	18
Prairie View A&M University.....	18
Texas A&M University – Central Texas	18
Texas A&M University – San Antonio	19
Texas A&M International University.....	19
Texas A&M University – Commerce	19
University of Houston	19
University of North Texas System	20
University of North Texas	20
Stephen F. Austin State University	21
Texas Southern University	21
Texas Tech University.....	21
Angelo State University	22
Texas Woman’s University.....	22
Lamar University.....	22
Sam Houston State University.....	23
Texas State University	23





Audit Highlights: January 2017 – December 2018

The University of Texas Southwestern Medical Center	23
The University of Texas Medical Branch at Galveston	24
The University of Texas Health Science Center at Houston	24
The University of Texas Health Science Center at San Antonio	24
Texas Tech University Health Sciences Center	25
Texas State Technical College System	25
Texas A&M Forest Service	25
Article IV: The Judiciary	26
Office of Court Administration	27
State Bar of Texas (Non-State Entity).....	27
Article V: Public Safety and Criminal Justice	28
Alcoholic Beverage Commission.....	29
Department of Criminal Justice	29
Commission on Fire Protection	29
Juvenile Justice Department.....	29
Military Department.....	29
Department of Public Safety	30
Article VI: Natural Resources	31
Department of Agriculture	32
Animal Health Commission	32
Commission on Environmental Quality	32
General Land Office	33
Parks and Wildlife Department	34
Railroad Commission	34
Soil and Water Conservation Board	34





Audit Highlights: January 2017 – December 2018

Water Development Board	34
Article VII: Business and Economic Development	35
Department of Housing and Community Affairs	36
Texas Lottery Commission.....	36
Department of Motor Vehicles	36
Department of Transportation	36
Texas Workforce Commission.....	37
Article VIII: Regulatory	38
Board of Chiropractic Examiners.....	39
Texas State Board of Dental Examiners	39
Funeral Service Commission	39
Health Professions Council.....	39
Department of Insurance.....	39
Board of Professional Land Surveying	39
Department of Licensing and Regulation.....	39
Texas Board of Nursing	40
Optometry Board.....	40
Board of Pharmacy	40
Board of Plumbing Examiners.....	40
Office of Public Utility Counsel.....	40
Board of Veterinary Medical Examiners.....	40
Self-directed, Semi-independent Agencies	41
Texas Board of Architectural Examiners	42
Office of Consumer Credit Commissioner	42





Audit Highlights: January 2017 – December 2018

Board of Professional Engineers	42
Board of Public Accountancy	42
Department of Savings and Mortgage Lending	42
Non-State Entities	43
Foster Care Contractors	44
Independent School Districts	44
Local Governments	45
Managed Care Organizations	45
Regional Planning Commissions	45
Water-related Authorities and Districts	46
Section 2 - Reports by Subject Matter	47
Benefits Proportional Requirements	48
Contracting	48
External Audit Services	50
Financial Processes	50
Financial Statement Opinion	51
Foster Care	52
Historically Underutilized Business Program	52
Implementation of Prior Recommendations	53
Medicaid Managed Care	54
Performance Measures	54
Public Funds Investment Act and Investment Reporting	55
Quality Assurance Team Projects	55
Statewide Single Audit	55
Texas Economic Development Act	56





Audit Highlights: January 2017 – December 2018

Section 3 – Reports with Priority Ratings.....	57
Priority Rated Findings.....	58
Section 4 - State Classification Team.....	59
Benefits as a Percent of Total Compensation	60
Compensation and Classification.....	60
Employee Turnover	61
Full-time Equivalent (FTE) Employees.....	61
Human Resources Management	61
Leave	62
Workforce Planning and Summaries	62





Audit Highlights

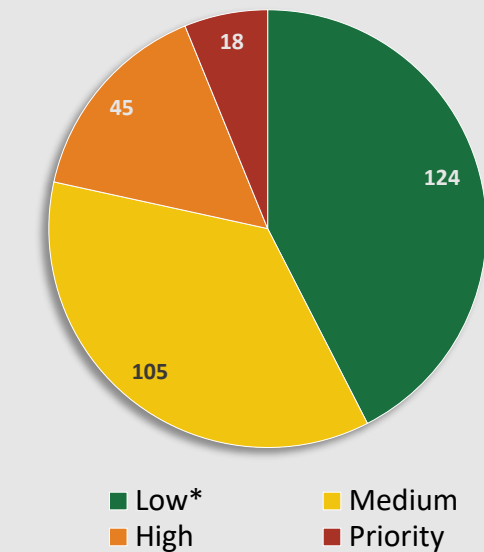
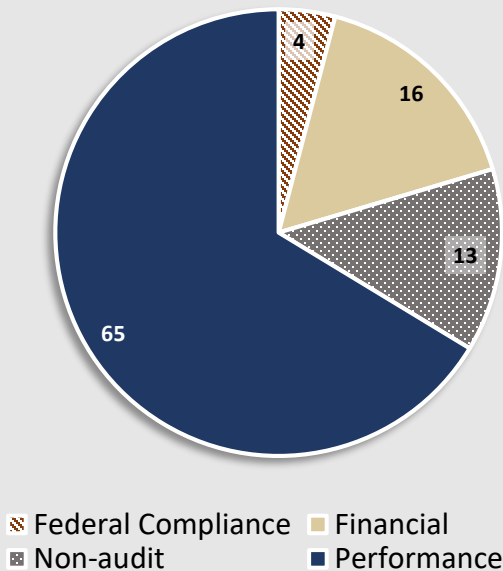
Section 1 - Audit and Review

Section 1 lists audit and review reports by agency and higher education institution. Entities are included in the order in which they appear in the General Appropriations Act. In addition, the issue ratings for each applicable performance audit are included to indicate the rating categories identified for the report. Each report is hyperlinked to the full report on the State Auditor's Office's (SAO) Web site.

The figures below show the 98 SAO audit and review reports released from January 2017 through December 2018 by GAA article, project type, and the related issue ratings. Because SAO audits may address more than one state entity, a report could be captured in multiple areas in the Reports by GAA Article figure below.

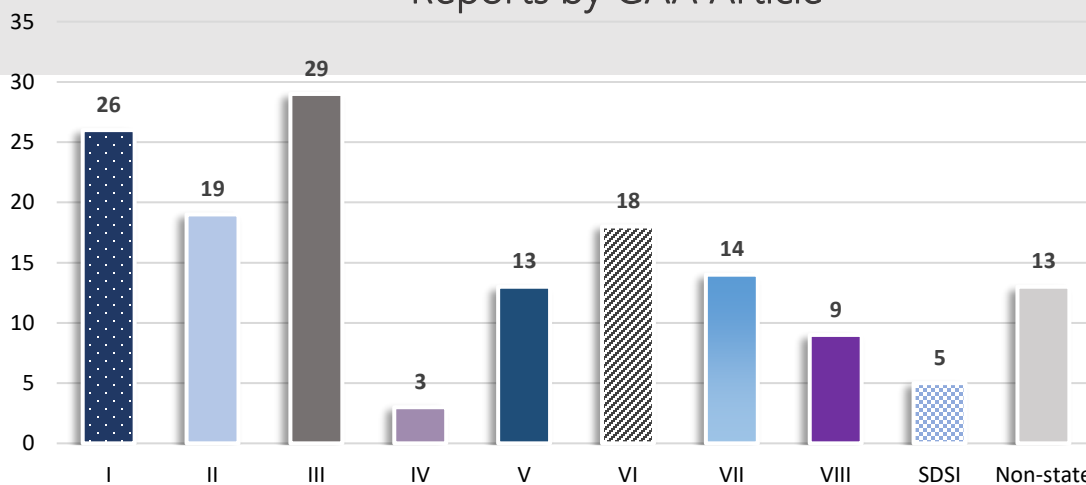
Reports by Type

Issue Ratings



*Total does not include 5 low ratings issued in State Classification Team reports. See Section 4 for those reports.

Reports by GAA Article





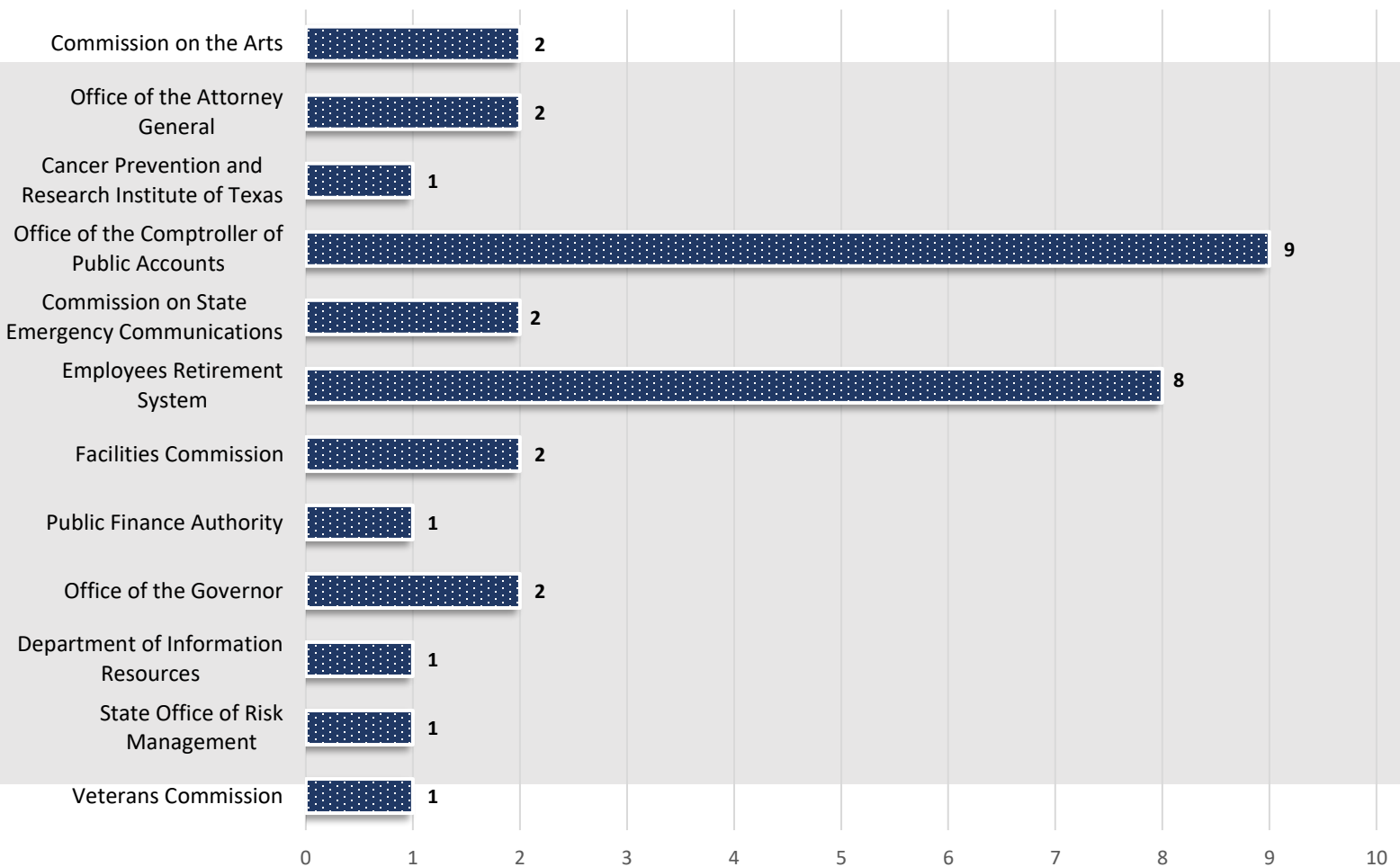
Audit Highlights

Section 1 - Audit and Review

Article I: General Government

The figure below shows the SAO's audit and review reports released from January 2017 through December 2018 that address agencies in Article I of the General Appropriations Act. Because SAO audits may address more than one agency, a report could be captured in multiple areas in the figure below.

Reports by Article I Agency





Audit Highlights

Section 1 - Audit and Review

Report Title	Report Number	Release Date	Report Ratings
Commission on the Arts			
A Report on the Implementation Status of Prior State Auditor's Office Recommendations	18-021	02/28/2018	
An Audit Report on Financial Processes at the Commission on the Arts	17-035	05/19/2017	● ● ● ●
Office of the Attorney General			
State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2017	18-555	02/28/2018	
An Audit Report on Selected Contracts at the Office of the Attorney General	17-039	06/14/2017	● ● ● ●
Cancer Prevention and Research Institute of Texas			
An Audit Report on Performance Measures at the Cancer Prevention and Research Institute of Texas	18-009	12/20/2017	
Office of the Comptroller of Public Accounts			
A Report on Analysis of Quality Assurance Team Projects	19-007	11/09/2018	
An Audit Report on Selected Major Agreements Under the Texas Economic Development Act	18-037	07/17/2018	● ● ● ●
State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2017	18-555	02/28/2018	
State of Texas Federal Portion of the Statewide Single Audit Report for the Year Ended August 31, 2017	18-314	02/28/2018	





Audit Highlights

Section 1 - Audit and Review

Report Title	Report Number	Release Date	Report Ratings
--------------	---------------	--------------	----------------

Office of the Comptroller of Public Accounts (continued)

An Audit Report on the Office of the Comptroller of Public Accounts' Controls Over the Centralized Accounting Payroll/Personnel System	18-002	10/13/2017	● ● ● ●
An Audit Report on Selected Major Agreements Under the Texas Economic Development Act	17-043	07/31/2017	● ● ● ●
A Report on the Implementation Status of Prior State Auditor's Office Recommendations	17-031	04/25/2017	
State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2016	17-555	02/28/2017	
State of Texas Federal Portion of the Statewide Single Audit Report for the Fiscal Year Ended August 31, 2016	17-314	02/28/2017	

Commission on State Emergency Communications

A Report on Analysis of Quality Assurance Team Projects	19-007	11/09/2018	
An Audit Report on Selected Contracts at the Commission on State Emergency Communications	17-041	07/27/2017	● ● ● ●

Employees Retirement System

A Report on the Audit of the Employees Retirement System's Fiscal Year 2018 Financial Statements	19-018	12/28/2018	
A Report on the Self-reported Implementation of Sunset Advisory Commission Management Actions	19-012	11/30/2018	
An Audit Report on Incentive Compensation at the Permanent School Fund, General Land Office, Employees Retirement System, and Teacher Retirement System	19-003	09/18/2018	● ● ● ●





Audit Highlights

Section 1 - Audit and Review

Report Title	Report Number	Release Date	Report Ratings
--------------	---------------	--------------	----------------

Employees Retirement System (continued)

A Report on the Audit of the Employees Retirement System's Fiscal Year 2017 Employer Pension and Other Post Employment Benefits Liability Allocation Schedules	18-040	07/26/2018	
A Report on the Audit of the Employees Retirement System's Fiscal Year 2017 Financial Statements	18-011	12/29/2017	
An Audit Report on Incentive Compensation at the Permanent School Fund, General Land Office, Employees Retirement System, and Teacher Retirement System	18-001	09/21/2017	● ● ● ●
A Report on the Implementation Status of Prior State Auditor's Office Recommendations	17-031	04/25/2017	
A Report on the Audit of the Employees Retirement System's Fiscal Year 2016 Pension Schedules	17-021	02/03/2017	

Texas Facilities Commission

An Audit Report on Selected Capitol Complex Project Contracts at the Texas Facilities Commission	19-016	12/20/2018	● ● ● ●
An Audit Report on the Texas Facilities Commission's Compliance with Requirements Related to the Historically Underutilized Business and State Use Programs	17-030	04/14/2017	● ● ● ●

Public Finance Authority

A Report on the Implementation Status of Prior State Auditor's Office Recommendations	18-021	02/28/2018	
---	------------------------	------------	--





Audit Highlights

Section 1 - Audit and Review

Report Title	Report Number	Release Date	Report Ratings
Office of the Governor			
State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2016	17-555	02/28/2017	
State of Texas Federal Portion of the Statewide Single Audit Report for the Fiscal Year Ended August 31, 2016	17-314	02/28/2017	
Department of Information Resources			
An Audit Report on Selected Contracts at the Department of Information Resources	17-038	06/14/2017	● ● ● ●
State Office of Risk Management			
An Audit Report on Financial Processes at the State Office of Risk Management	18-032	06/12/2018	● ● ● ●
Veterans Commission			
An Audit Report on Financial Processes at the Veterans Commission	18-031	06/06/2018	● ● ● ●





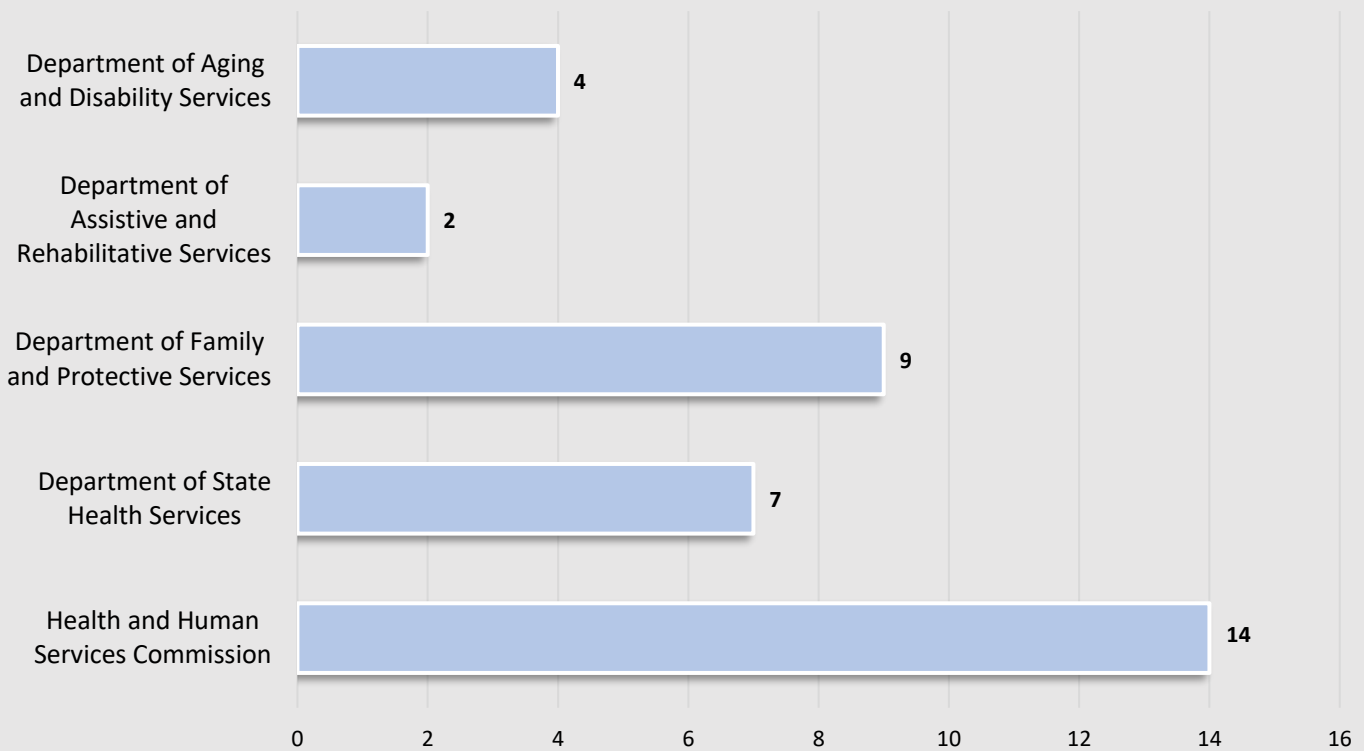
Audit Highlights

Section 1 - Audit and Review

Article II: Health and Human Services

The figure below shows the SAO's audit and review reports released from January 2017 through December 2018 that address agencies in Article II of the General Appropriations Act. Because SAO audits may address more than one agency, a report could be captured in multiple areas in the figure below.

Reports by Article II Agency





Audit Highlights

Section 1 - Audit and Review

Report Title	Report Number	Release Date	Report Ratings
--------------	---------------	--------------	----------------

Department of Aging and Disability Services

Effective September 1, 2017, the Department of Aging and Disability Services was abolished and all of the Department's functions were transferred to the Health and Human Services Commission.

State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2017	18-555	02/28/2018	
--	------------------------	------------	--

State of Texas Federal Portion of the Statewide Single Audit Report for the Year Ended August 31, 2017	18-314	02/28/2018	
--	------------------------	------------	--

State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2016	17-555	02/28/2017	
--	------------------------	------------	--

State of Texas Federal Portion of the Statewide Single Audit Report for the Fiscal Year Ended August 31, 2016	17-314	02/28/2017	
---	------------------------	------------	--

Department of Assistive and Rehabilitative Services

Effective September 1, 2016, the Department of Assistive and Rehabilitative Services was abolished and all of the Department's functions were transferred to the Health and Human Services Commission or Texas Workforce Commission.

State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2016	17-555	02/28/2017	
--	------------------------	------------	--

State of Texas Federal Portion of the Statewide Single Audit Report for the Fiscal Year Ended August 31, 2016	17-314	02/28/2017	
---	------------------------	------------	--

Department of Family and Protective Services

An Audit Report on On-site Financial Audits of Selected Residential Foster Care Contractors	19-004	10/10/2018	● ● ● ●
---	------------------------	------------	---------

An Audit Report on the Department of Family and Protective Services' Adult Protective Services Investigations	18-041	08/06/2018	● ● ● ●
---	------------------------	------------	---------

An Audit Report on Foster Care Redesign at the Department of Family and Protective Services	18-022	03/12/2018	● ● ● ●
---	------------------------	------------	---------





Audit Highlights

Section 1 - Audit and Review

Report Title	Report Number	Release Date	Report Ratings
Department of Family and Protective Services (continued)			
State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2017	18-555	02/28/2018	
State of Texas Federal Portion of the Statewide Single Audit Report for the Year Ended August 31, 2017	18-314	02/28/2018	
A Report on the Implementation Status of Prior State Auditor's Office Recommendations	18-021	02/28/2018	
An Audit Report on On-site Financial Audits of Selected Residential Foster Care Contractors	18-004	10/31/2017	● ● ● ●
State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2016	17-555	02/28/2017	
State of Texas Federal Portion of the Statewide Single Audit Report for the Fiscal Year Ended August 31, 2016	17-314	02/28/2017	
Department of State Health Services			
A Report on Analysis of Quality Assurance Team Projects	19-007	11/09/2018	
An Audit Report on a Selected Contract at the Department of State Health Services	18-025	04/12/2018	● ● ● ●
State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2017	18-555	02/28/2018	
State of Texas Federal Portion of the Statewide Single Audit Report for the Year Ended August 31, 2017	18-314	02/28/2018	
A Report on the Implementation Status of Prior State Auditor's Office Recommendations	18-021	02/28/2018	





Audit Highlights

Section 1 - Audit and Review

Report Title	Report Number	Release Date	Report Ratings
Department of State Health Services (continued)			
State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2016	17-555	02/28/2017	
State of Texas Federal Portion of the Statewide Single Audit Report for the Fiscal Year Ended August 31, 2016	17-314	02/28/2017	
Health and Human Services Commission			
An Audit Report on Amerigroup Texas, Inc. and Amerigroup Insurance Company, a Managed Care Organization	19-011	11/27/2018	● ● ● ●
An Audit Report on Selected Contracts at the Health and Human Services Commission	19-010	11/26/2018	● ● ● ●
An Audit Report on Scoring and Evaluation of Selected Procurements at the Health and Human Services Commission	18-038	07/13/2018	● ● ● ●
An Audit Report on the Health and Human Services Commission's Family Violence Program	18-028	05/11/2018	● ● ● ●
An Audit Report on a Selected Contract at the Department of State Health Services	18-025	04/12/2018	● ● ● ●
State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2017	18-555	02/28/2018	
State of Texas Federal Portion of the Statewide Single Audit Report for the Year Ended August 31, 2017	18-314	02/28/2018	
A Report on the Implementation Status of Prior State Auditor's Office Recommendations	18-021	02/28/2018	





Audit Highlights

Section 1 - Audit and Review

Report Title	Report Number	Release Date	Report Ratings
Health and Human Services Commission (continued)			
An Audit Report on the Health and Human Services Commission's Management of Its Medicaid Managed Care Contract with Superior HealthPlan, Inc. and Superior HealthPlan Network, and Superior's Compliance with Reporting Requirements	18-015	01/25/2018	● ● ● ●
A Report on Health and Human Services Commission Contracts	18-006	12/06/2017	
A Report on Analysis of Quality Assurance Team Projects	17-047	08/29/2017	
State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2016	17-555	02/28/2017	
State of Texas Federal Portion of the Statewide Single Audit Report for the Fiscal Year Ended August 31, 2016	17-314	02/28/2017	
An Audit Report on HealthSpring Life and Health Insurance Company, Inc., a Medicaid STAR+PLUS Managed Care Organization	17-025	02/15/2017	● ● ● ●





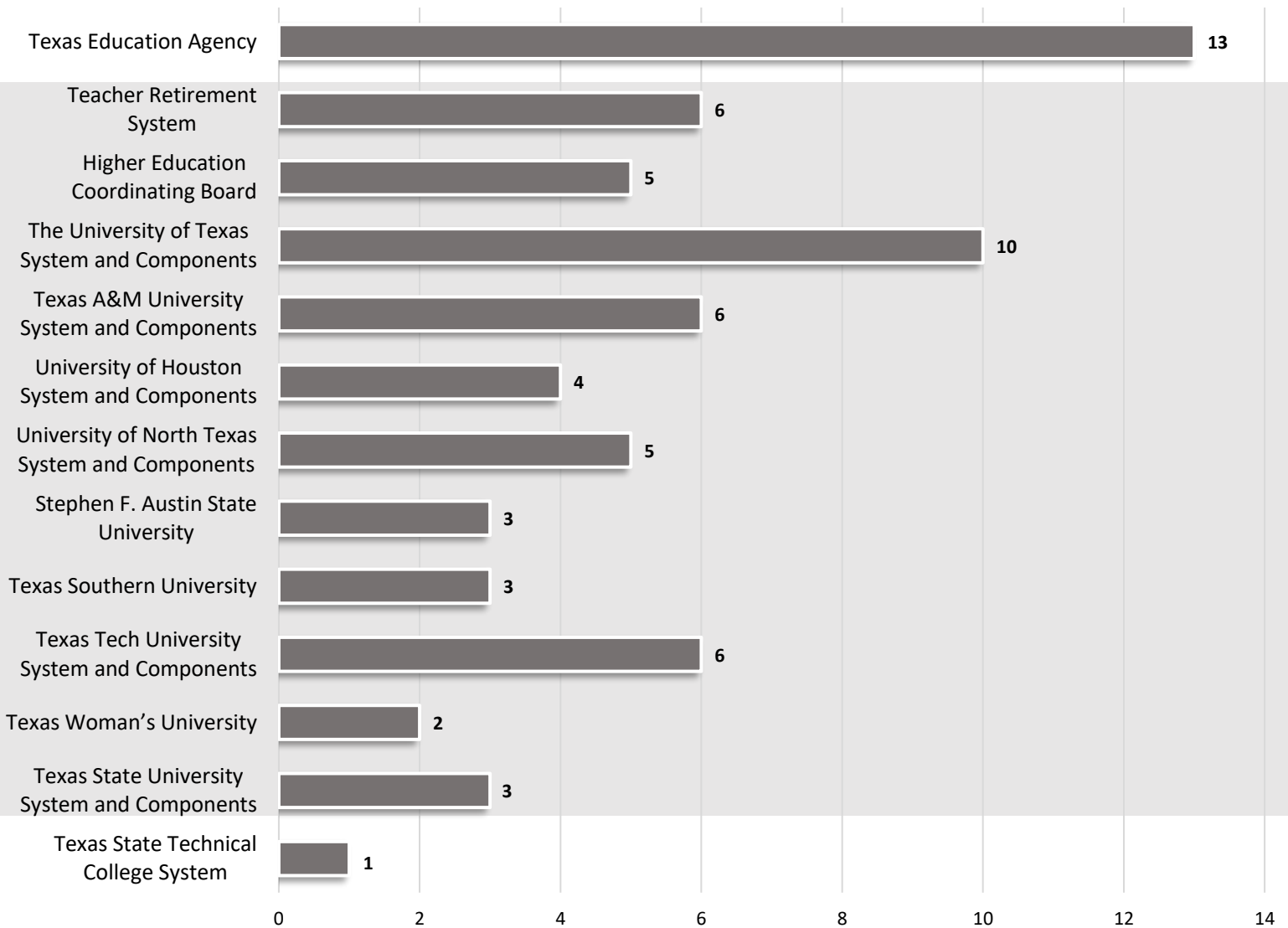
Audit Highlights

Section 1 - Audit and Review

Article III: Education

The figure below shows the SAO's audit and review reports released from January 2017 through December 2018 that address agencies and higher education institutions in Article III of the General Appropriations Act. Because SAO audits may address more than one entity, a report could be captured in multiple areas in the figure below.

Reports by Article III Agency and Institution





Audit Highlights

Section 1 - Audit and Review

Report Title	Report Number	Release Date	Report Ratings
Texas Education Agency			
An Audit Report on Incentive Compensation at the Permanent School Fund, General Land Office, Employees Retirement System, and Teacher Retirement System	19-003	09/18/2018	● ○ ○ ○
An Audit Report on Selected Contracts at the Texas Education Agency	18-044	08/31/2018	● ● ● ●
An Audit Report on Selected Major Agreements Under the Texas Economic Development Act	18-037	07/17/2018	● ● ○ ○
State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2017	18-555	02/28/2018	
State of Texas Federal Portion of the Statewide Single Audit Report for the Year Ended August 31, 2017	18-314	02/28/2018	
An Audit Report on Certification of the Permanent School Fund's Bond Guarantee Program for Fiscal Year 2017	18-018	02/09/2018	
A Report on the Audit of the Permanent School Fund's Fiscal Year 2017 Financial Statements	18-013	12/29/2017	
An Audit Report on Incentive Compensation at the Permanent School Fund, General Land Office, Employees Retirement System, and Teacher Retirement System	18-001	09/21/2017	● ○ ○ ○
An Audit Report on Selected Facilities Funding Programs at the Texas Education Agency	17-046	08/24/2017	● ● ● ○
State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2016	17-555	02/28/2017	
State of Texas Federal Portion of the Statewide Single Audit Report for the Fiscal Year Ended August 31, 2016	17-314	02/28/2017	





Audit Highlights

Section 1 - Audit and Review

Report Title	Report Number	Release Date	Report Ratings
Texas Education Agency (continued)			
An Audit Report on Certification of the Permanent School Fund's Bond Guarantee Program for Fiscal Year 2016	17-024	02/14/2017	
A Report on the Audit of the Permanent School Fund's Fiscal Year 2016 Financial Statements	17-019	01/13/2017	
Teacher Retirement System			
A Report on the Audit of the Teacher Retirement System's Fiscal Year 2018 Financial Statements	19-015	12/20/2018	
An Audit Report on Incentive Compensation at the Permanent School Fund, General Land Office, Employees Retirement System, and Teacher Retirement System	19-003	09/18/2018	● ○ ○ ○
A Report on the Audit of the Teacher Retirement System's Fiscal Year 2017 Employer Pension and Other Post Employment Benefits Liability Allocation Schedules	18-033	06/14/2018	
An Audit Report on the Audit of the Teacher Retirement System's Fiscal Year 2017 Financial Statements	18-005	11/27/2017	
An Audit Report on Incentive Compensation at the Permanent School Fund, General Land Office, Employees Retirement System, and Teacher Retirement System	18-001	09/21/2017	● ○ ○ ○
An Audit Report on the Audit of Teacher Retirement System's Fiscal Year 2016 Employer Pension Liability Allocation Schedules	17-037	06/05/2017	





Audit Highlights

Section 1 - Audit and Review

Report Title	Report Number	Release Date	Report Ratings
Higher Education Coordinating Board			
An Audit Report on the Distribution of the National Research University Fund	18-036	07/02/2018	● ● ● ●
State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2017	18-555	02/28/2018	
State of Texas Federal Portion of the Statewide Single Audit Report for the Year Ended August 31, 2017	18-314	02/28/2018	
State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2016	17-555	02/28/2017	
State of Texas Federal Portion of the Statewide Single Audit Report for the Fiscal Year Ended August 31, 2016	17-314	02/28/2017	
The University of Texas at Arlington			
State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2017	18-555	02/28/2018	
A Report on State of Texas Compliance with Federal Requirements for the Student Financial Assistance Cluster for the Fiscal Year Ended August 31, 2017	18-019	02/22/2018	
State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2016	17-555	02/28/2017	
A Report on State of Texas Compliance with Federal Requirements for the Student Financial Assistance Cluster for the Fiscal Year Ended August 31, 2016	17-027	02/22/2017	





Audit Highlights

Section 1 - Audit and Review

Report Title	Report Number	Release Date	Report Ratings
--------------	---------------	--------------	----------------

The University of Texas at Austin

State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2017	18-555	02/28/2018	
--	------------------------	------------	--

A Report on State of Texas Compliance with Federal Requirements for the Student Financial Assistance Cluster for the Fiscal Year Ended August 31, 2017	18-019	02/22/2018	
--	------------------------	------------	--

State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2016	17-555	02/28/2017	
--	------------------------	------------	--

A Report on State of Texas Compliance with Federal Requirements for the Student Financial Assistance Cluster for the Fiscal Year Ended August 31, 2016	17-027	02/22/2017	
--	------------------------	------------	--

The University of Texas at Dallas

An Audit Report on the Distribution of the National Research University Fund	18-036	07/02/2018	● ● ● ●
--	------------------------	------------	---------

A Report on State of Texas Compliance with Federal Requirements for the Student Financial Assistance Cluster for the Fiscal Year Ended August 31, 2016	17-027	02/22/2017	
--	------------------------	------------	--

The University of Texas at El Paso

State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2017	18-555	02/28/2018	
--	------------------------	------------	--

A Report on State of Texas Compliance with Federal Requirements for the Student Financial Assistance Cluster for the Fiscal Year Ended August 31, 2017	18-019	02/22/2018	
--	------------------------	------------	--





Audit Highlights

Section 1 - Audit and Review

Report Title	Report Number	Release Date	Report Ratings
--------------	---------------	--------------	----------------

The University of Texas at El Paso (continued)

State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2016	17-555	02/28/2017	
A Report on State of Texas Compliance with Federal Requirements for the Student Financial Assistance Cluster for the Fiscal Year Ended August 31, 2016	17-027	02/22/2017	
An Audit Report on the University of Texas at El Paso's Compliance with Benefits Proportional Requirements	17-022	02/01/2017	● ● ● ●

The University of Texas Rio Grande Valley

State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2017	18-555	02/28/2018	
A Report on State of Texas Compliance with Federal Requirements for the Student Financial Assistance Cluster for the Fiscal Year Ended August 31, 2017	18-019	02/22/2018	
State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2016	17-555	02/28/2017	
A Report on State of Texas Compliance with Federal Requirements for the Student Financial Assistance Cluster for the Fiscal Year Ended August 31, 2016	17-027	02/22/2017	

The University of Texas at San Antonio

State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2017	18-555	02/28/2018	
A Report on State of Texas Compliance with Federal Requirements for the Student Financial Assistance Cluster for the Fiscal Year Ended August 31, 2017	18-019	02/22/2018	





Audit Highlights

Section 1 - Audit and Review

Report Title	Report Number	Release Date	Report Ratings
--------------	---------------	--------------	----------------

The University of Texas at San Antonio (continued)

State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2016	17-555	02/28/2017	
--	------------------------	------------	--

A Report on State of Texas Compliance with Federal Requirements for the Student Financial Assistance Cluster for the Fiscal Year Ended August 31, 2016	17-027	02/22/2017	
--	------------------------	------------	--

Texas A&M University

State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2017	18-555	02/28/2018	
--	------------------------	------------	--

A Report on State of Texas Compliance with Federal Requirements for the Student Financial Assistance Cluster for the Fiscal Year Ended August 31, 2017	18-019	02/22/2018	
--	------------------------	------------	--

State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2016	17-555	02/28/2017	
--	------------------------	------------	--

A Report on State of Texas Compliance with Federal Requirements for the Student Financial Assistance Cluster for the Fiscal Year Ended August 31, 2016	17-027	02/22/2017	
--	------------------------	------------	--

Prairie View A&M University

A Report on State of Texas Compliance with Federal Requirements for the Student Financial Assistance Cluster for the Fiscal Year Ended August 31, 2017	18-019	02/22/2018	
--	------------------------	------------	--

Texas A&M University – Central Texas

An Audit Report on Selected Facilities Support Services Agreements and Special Projects at Selected Universities in the Texas A&M University System	18-024	04/12/2018	● ● ● ●
---	------------------------	------------	---------





Audit Highlights

Section 1 - Audit and Review

Report Title	Report Number	Release Date	Report Ratings
Texas A&M University – San Antonio			
An Audit Report on Selected Facilities Support Services Agreements and Special Projects at Selected Universities in the Texas A&M University System	18-024	04/12/2018	● ● ● ●
A Report on State of Texas Compliance with Federal Requirements for the Student Financial Assistance Cluster for the Fiscal Year Ended August 31, 2017	18-019	02/22/2018	
Texas A&M International University			
An Audit Report on Selected Facilities Support Services Agreements and Special Projects at Selected Universities in the Texas A&M University System	18-024	04/12/2018	● ● ● ●
Texas A&M University – Commerce			
An Audit Report on Selected Facilities Support Services Agreements and Special Projects at Selected Universities in the Texas A&M University System	18-024	04/12/2018	● ● ● ●
University of Houston			
State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2017	18-555	02/28/2018	
A Report on State of Texas Compliance with Federal Requirements for the Student Financial Assistance Cluster for the Fiscal Year Ended August 31, 2017	18-019	02/22/2018	





Audit Highlights

Section 1 - Audit and Review

Report Title	Report Number	Release Date	Report Ratings
--------------	---------------	--------------	----------------

University of Houston (continued)

State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2016	17-555	02/28/2017	
--	------------------------	------------	--

A Report on State of Texas Compliance with Federal Requirements for the Student Financial Assistance Cluster for the Fiscal Year Ended August 31, 2016	17-027	02/22/2017	
--	------------------------	------------	--

University of North Texas System

An Audit Report on a Selected Contract at the University of North Texas System	19-001	09/04/2018	● ● ● ●
--	------------------------	------------	---------

University of North Texas

State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2017	18-555	02/28/2018	
--	------------------------	------------	--

A Report on State of Texas Compliance with Federal Requirements for the Student Financial Assistance Cluster for the Fiscal Year Ended August 31, 2017	18-019	02/22/2018	
--	------------------------	------------	--

State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2016	17-555	02/28/2017	
--	------------------------	------------	--

A Report on State of Texas Compliance with Federal Requirements for the Student Financial Assistance Cluster for the Fiscal Year Ended August 31, 2016	17-027	02/22/2017	
--	------------------------	------------	--





Audit Highlights

Section 1 - Audit and Review

Report Title	Report Number	Release Date	Report Ratings
Stephen F. Austin State University			
A Report on State of Texas Compliance with Federal Requirements for the Student Financial Assistance Cluster for the Fiscal Year Ended August 31, 2017	18-019	02/22/2018	
An Audit Report on Selected Contracts at Stephen F. Austin State University	17-042	07/27/2017	● ● ● ●
A Report on State of Texas Compliance with Federal Requirements for the Student Financial Assistance Cluster for the Fiscal Year Ended August 31, 2016	17-027	02/22/2017	
Texas Southern University			
State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2017	18-555	02/28/2018	
A Report on State of Texas Compliance with Federal Requirements for the Student Financial Assistance Cluster for the Fiscal Year Ended August 31, 2017	18-019	02/22/2018	
A Report on State of Texas Compliance with Federal Requirements for the Student Financial Assistance Cluster for the Fiscal Year Ended August 31, 2016	17-027	02/22/2017	
Texas Tech University			
State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2017	18-555	02/28/2018	
A Report on State of Texas Compliance with Federal Requirements for the Student Financial Assistance Cluster for the Fiscal Year Ended August 31, 2017	18-019	02/22/2018	





Audit Highlights

Section 1 - Audit and Review

Report Title	Report Number	Release Date	Report Ratings
Texas Tech University (continued)			
State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2016	17-555	02/28/2017	
A Report on State of Texas Compliance with Federal Requirements for the Student Financial Assistance Cluster for the Fiscal Year Ended August 31, 2016	17-027	02/22/2017	
Angelo State University			
An Audit Report on Angelo State University's Compliance with Requirements Related to the Historically Underutilized Business and State Use Programs	19-008	11/13/2018	● ● ● ●
Texas Woman's University			
A Report on State of Texas Compliance with Federal Requirements for the Student Financial Assistance Cluster for the Fiscal Year Ended August 31, 2017	18-019	02/22/2018	
A Report on State of Texas Compliance with Federal Requirements for the Student Financial Assistance Cluster for the Fiscal Year Ended August 31, 2016	17-027	02/22/2017	
Lamar University			
A Report on State of Texas Compliance with Federal Requirements for the Student Financial Assistance Cluster for the Fiscal Year Ended August 31, 2016	17-027	02/22/2017	





Audit Highlights

Section 1 - Audit and Review

Report Title	Report Number	Release Date	Report Ratings
--------------	---------------	--------------	----------------

Sam Houston State University

A Report on State of Texas Compliance with Federal Requirements for the Student Financial Assistance Cluster for the Fiscal Year Ended August 31, 2017 [18-019](#) 02/22/2018

A Report on State of Texas Compliance with Federal Requirements for the Student Financial Assistance Cluster for the Fiscal Year Ended August 31, 2016 [17-027](#) 02/22/2017

Texas State University

State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2017 [18-555](#) 02/28/2018

A Report on State of Texas Compliance with Federal Requirements for the Student Financial Assistance Cluster for the Fiscal Year Ended August 31, 2017 [18-019](#) 02/22/2018

A Report on State of Texas Compliance with Federal Requirements for the Student Financial Assistance Cluster for the Fiscal Year Ended August 31, 2016 [17-027](#) 02/22/2017

The University of Texas Southwestern Medical Center

An Audit Report on the University of Texas Southwestern Medical Center's Compliance with Requirements Related to the Historically Underutilized Business and State Use Programs [18-026](#) 04/23/2018 ● ● ● ●





Audit Highlights

Section 1 - Audit and Review

Report Title	Report Number	Release Date	Report Ratings
--------------	---------------	--------------	----------------

The University of Texas Medical Branch at Galveston

State of Texas Federal Portion of the Statewide Single Audit Report for the Year Ended August 31, 2017	18-314	02/28/2018	
An Audit Report on the University of Texas Medical Branch at Galveston's Compliance with Requirements Related to the Historically Underutilized Business and State Use Programs	17-028	03/20/2017	● ● ● ●
State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2016	17-555	02/28/2017	
State of Texas Federal Portion of the Statewide Single Audit Report for the Fiscal Year Ended August 31, 2016	17-314	02/28/2017	

The University of Texas Health Science Center at Houston

A Report on State of Texas Compliance with Federal Requirements for the Student Financial Assistance Cluster for the Fiscal Year Ended August 31, 2017	18-019	02/22/2018	
--	------------------------	------------	--

The University of Texas Health Science Center at San Antonio

State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2017	18-555	02/28/2018	
A Report on State of Texas Compliance with Federal Requirements for the Student Financial Assistance Cluster for the Fiscal Year Ended August 31, 2017	18-019	02/22/2018	





Audit Highlights

Section 1 - Audit and Review

Report Title	Report Number	Release Date	Report Ratings
Texas Tech University Health Sciences Center			
An Audit Report on Selected Higher Education Institutions' Compliance with Benefits Proportional Requirements	18-020	02/20/2018	● ○ ○ ○
A Report on State of Texas Compliance with Federal Requirements for the Student Financial Assistance Cluster for the Fiscal Year Ended August 31, 2016	17-027	02/22/2017	
Texas State Technical College System			
An Audit Report on Selected Higher Education Institutions' Compliance with Benefits Proportional Requirements	18-020	02/20/2018	● ○ ○ ○
Texas A&M Forest Service			
An Audit Report on Financial Processes at the Texas A&M Forest Service	19-009	11/27/2018	● ● ○ ○





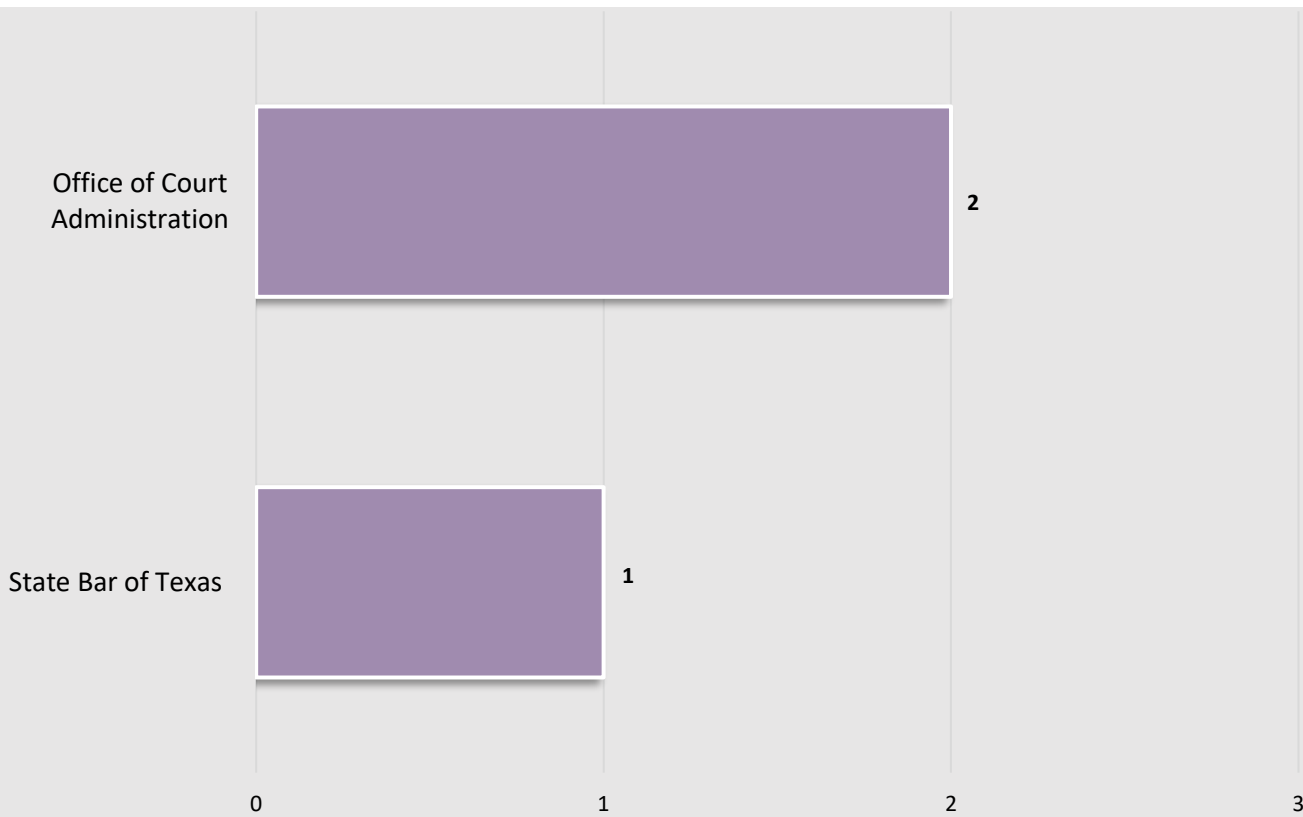
Audit Highlights

Section 1 - Audit and Review

Article IV: The Judiciary

The figure below shows the SAO's audit and review reports released from January 2017 through December 2018 that address agencies in Article IV of the General Appropriations Act or related functions.

Reports by Article IV Agency





Audit Highlights

Section 1 - Audit and Review

Report Title	Report Number	Release Date	Report Ratings
Office of Court Administration			
A Report on the Implementation Status of Prior State Auditor's Office Recommendations	18-021	02/28/2018	
An Audit Report on Financial Processes at the Office of Court Administration	17-048	08/31/2017	● ● ● ●
State Bar of Texas (Non-State Entity)			
A Report on the Self-reported Implementation of Sunset Advisory Commission Management Actions	19-012	11/30/2018	





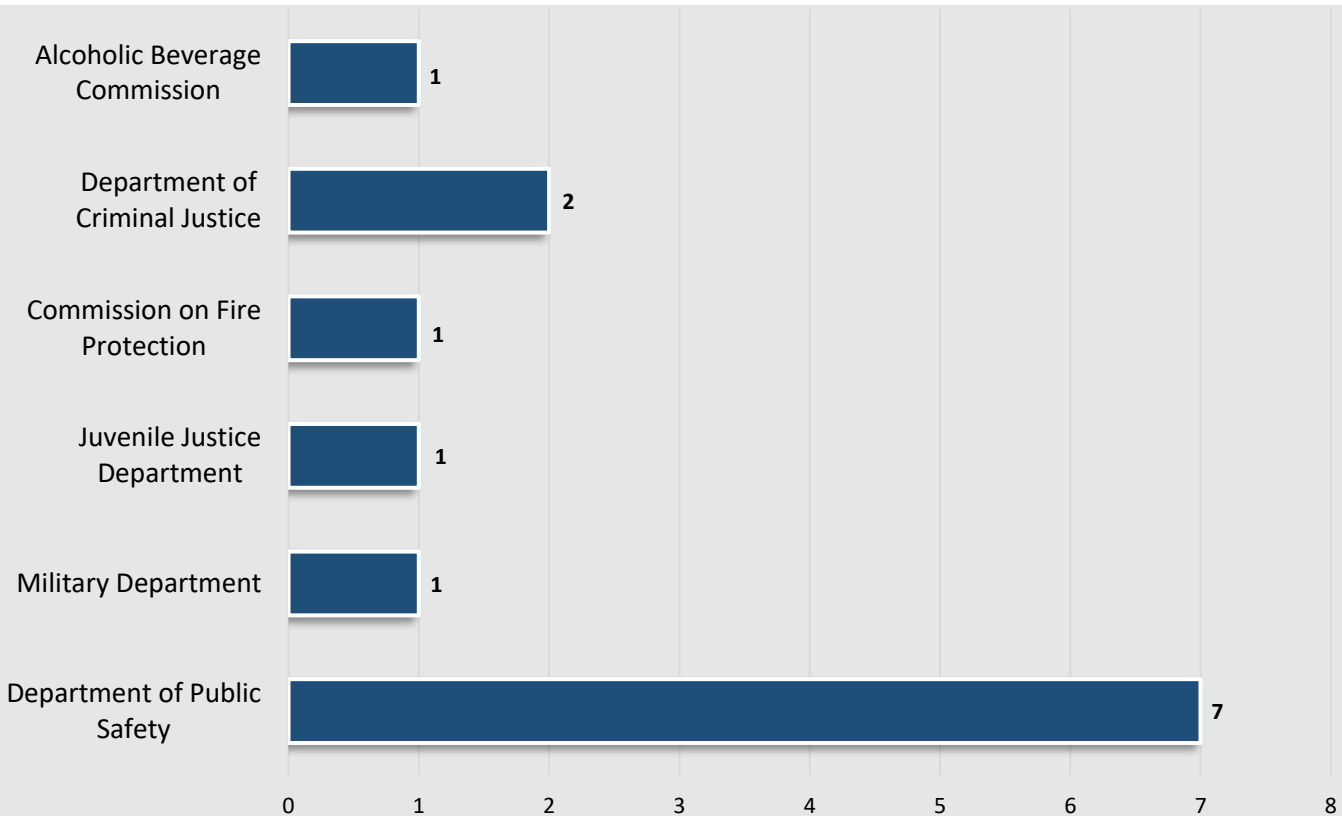
Audit Highlights

Section 1 - Audit and Review

Article V: Public Safety and Criminal Justice

The figure below shows the SAO's audit and review reports released from January 2017 through December 2018 that address agencies in Article V of the General Appropriations Act. Because SAO audits may address more than one agency, a report could be captured in multiple areas in the figure below.

Reports by Article V Agency





Audit Highlights

Section 1 - Audit and Review

Report Title	Report Number	Release Date	Report Ratings
Alcoholic Beverage Commission			
An Audit Report on Financial Processes at the Alcoholic Beverage Commission	17-044	07/28/2017	● ● ● ●
Department of Criminal Justice			
An Audit Report on Financial Processes at the Department of Criminal Justice	18-035	06/28/2018	● ● ● ●
An Audit Report on Selected Contracts at the Department of Criminal Justice	17-032	05/02/2017	● ● ● ●
Commission on Fire Protection			
An Audit Report on Performance Measures at the Commission on Fire Protection	19-002	09/18/2018	
Juvenile Justice Department			
An Audit Report on Performance Measures at the Juvenile Justice Department	18-042	08/13/2018	● ● ● ●
Military Department			
An Audit Report on Financial Processes at the Military Department	18-010	12/22/2017	● ● ● ●





Audit Highlights

Section 1 - Audit and Review

Report Title	Report Number	Release Date	Report Ratings
Department of Public Safety			
An Audit Report on the Department of Public Safety's Driver License Division	19-014	12/17/2018	● ● ● ●
State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2017	18-555	02/28/2018	
State of Texas Federal Portion of the Statewide Single Audit Report for the Year Ended August 31, 2017	18-314	02/28/2018	
A Report on Analysis of Quality Assurance Team Projects	17-047	08/29/2017	
A Report on the Implementation Status of Prior State Auditor's Office Recommendations	17-031	04/25/2017	
State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2016	17-555	02/28/2017	
State of Texas Federal Portion of the Statewide Single Audit Report for the Fiscal Year Ended August 31, 2016	17-314	02/28/2017	





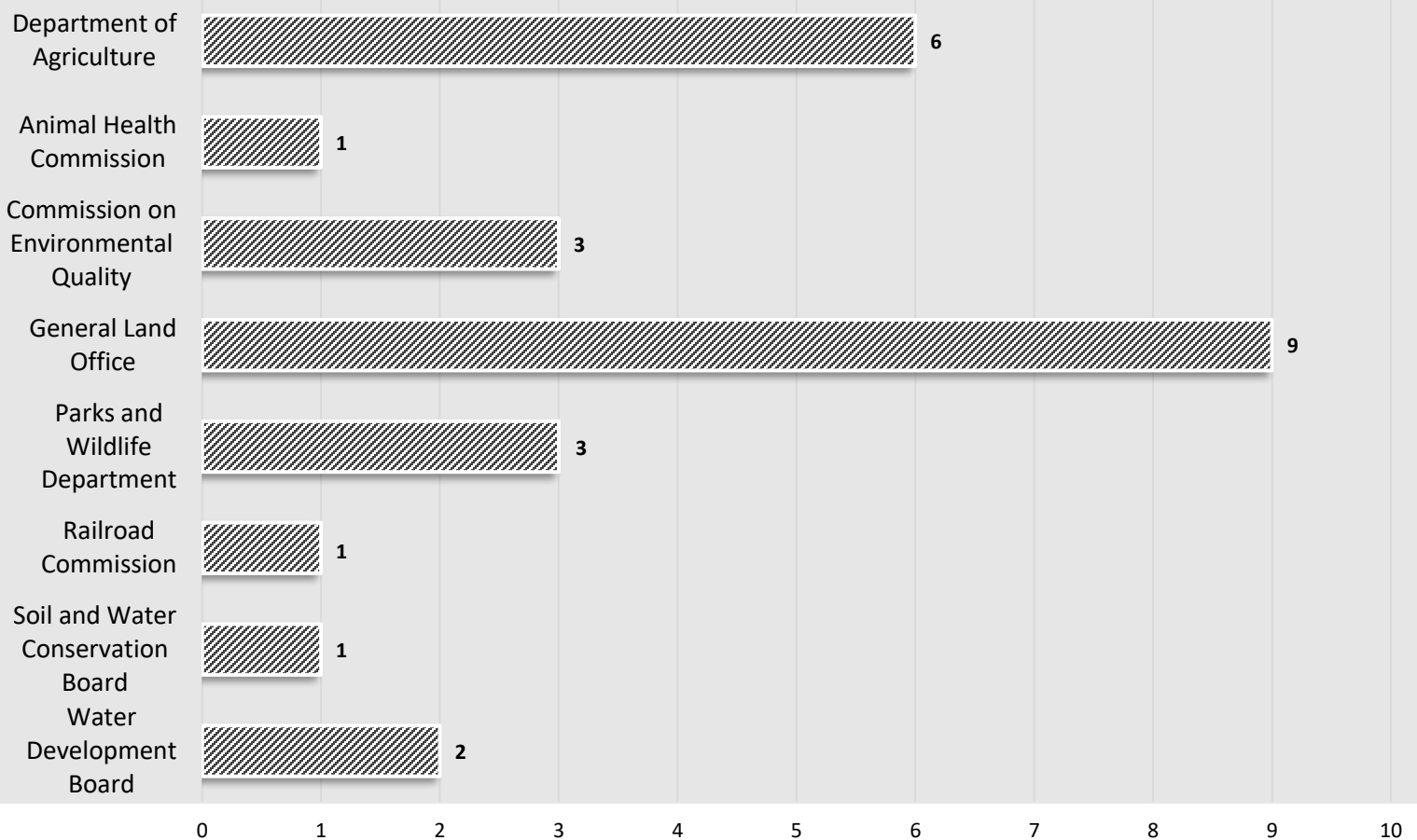
Audit Highlights

Section 1 - Audit and Review

Article VI: Natural Resources

The figure below shows the SAO's audit and review reports released from January 2017 through December 2018 that address agencies in Article VI of the General Appropriations Act. Because SAO audits may address more than one agency, a report could be captured in multiple areas in the figure below.

Reports by Article VI Agency





Audit Highlights

Section 1 - Audit and Review

Report Title	Report Number	Release Date	Report Ratings
Department of Agriculture			
State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2017	18-555	02/28/2018	
State of Texas Federal Portion of the Statewide Single Audit Report for the Year Ended August 31, 2017	18-314	02/28/2018	
An Audit Report on Fees at the Department of Agriculture	17-049	08/31/2017	● ● ● ●
A Report on the Implementation Status of Prior State Auditor's Office Recommendations	17-031	04/25/2017	
State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2016	17-555	02/28/2017	
State of Texas Federal Portion of the Statewide Single Audit Report for the Fiscal Year Ended August 31, 2016	17-314	02/28/2017	
Animal Health Commission			
An Audit Report on Vehicle Fleet Management at Selected State Entities	19-006	10/29/2018	● ● ● ●
Commission on Environmental Quality			
An Audit Report on the Commission on Environmental Quality's Administration of the Texas Emissions Reduction Plan Grants	19-005	10/15/2018	● ● ● ●
A Report on the Implementation Status of Prior State Auditor's Office Recommendations	18-021	02/28/2018	
An Audit Report on Selected Contracts at the Commission on Environmental Quality	17-029	03/28/2017	● ● ● ●





Audit Highlights

Section 1 - Audit and Review

Report Title	Report Number	Release Date	Report Ratings
General Land Office			
An Audit Report on Incentive Compensation at the Permanent School Fund, General Land Office, Employees Retirement System, and Teacher Retirement System	19-003	09/18/2018	● ○ ○ ○
State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2017	18-555	02/28/2018	
State of Texas Federal Portion of the Statewide Single Audit Report for the Year Ended August 31, 2017	18-314	02/28/2018	
A Report on the Audit of the Permanent School Fund's Fiscal Year 2017 Financial Statements	18-013	12/29/2017	
An Audit Report on Incentive Compensation at the Permanent School Fund, General Land Office, Employees Retirement System, and Teacher Retirement System	18-001	09/21/2017	● ○ ○ ○
A Report on the Implementation Status of Prior State Auditor's Office Recommendations	17-031	04/25/2017	
State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2016	17-555	02/28/2017	
State of Texas Federal Portion of the Statewide Single Audit Report for the Fiscal Year Ended August 31, 2016	17-314	02/28/2017	
A Report on the Audit of the Permanent School Fund's Fiscal Year 2016 Financial Statements	17-019	01/13/2017	





Audit Highlights

Section 1 - Audit and Review

Report Title	Report Number	Release Date	Report Ratings
Parks and Wildlife Department			
An Audit Report on Vehicle Fleet Management at Selected State Entities	19-006	10/29/2018	● ● ● ●
A Report on the Implementation Status of Prior State Auditor's Office Recommendations	18-021	02/28/2018	
An Audit Report on Contracting Processes in the Parks and Wildlife Department's Infrastructure Division	18-008	12/15/2017	● ● ● ●
Railroad Commission			
A Report on the Self-reported Implementation of Sunset Advisory Commission Management Actions	19-012	11/30/2018	
Soil and Water Conservation Board			
An Audit Report on Grant Management at the Soil and Water Conservation Board	17-045	08/14/2017	● ● ● ●
Water Development Board			
A Summary Report on Senate Bill 1289 Provisions Related to the Water Development Board's Financial Assistance of Construction Projects	19-019	12/28/2018	
State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2017	18-555	02/28/2018	





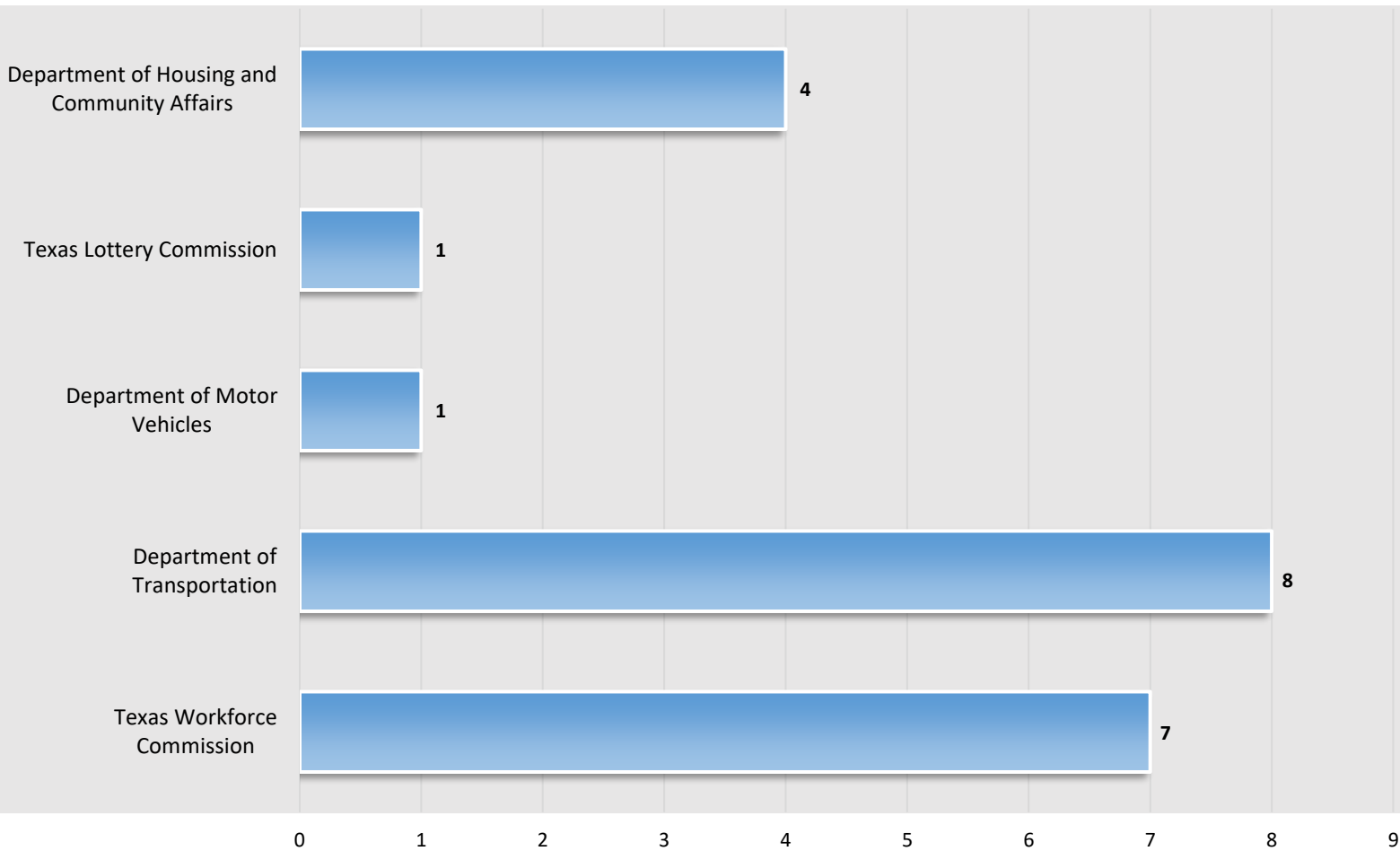
Audit Highlights

Section 1 - Audit and Review

Article VII: Business and Economic Development

The figure below shows the SAO's audit and review reports released from January 2017 through December 2018 that address agencies in Article VII of the General Appropriations Act. Because SAO audits may address more than one agency, a report could be captured in multiple areas in the figure below.

Reports by Article VII Agency





Audit Highlights

Section 1 - Audit and Review

Report Title	Report Number	Release Date	Report Ratings
--------------	---------------	--------------	----------------

Department of Housing and Community Affairs

A Report on the Audit of the Department of Housing and Community Affairs' Fiscal Year 2018 Financial Statements	19-017	12/28/2018	
A Report on the Audit of the Department of Housing and Community Affairs' Fiscal Year 2017 Financial Statements	18-012	12/29/2017	
State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2016	17-555	02/28/2017	
State of Texas Federal Portion of the Statewide Single Audit Report for the Fiscal Year Ended August 31, 2016	17-314	02/28/2017	

Texas Lottery Commission

A Report on the Implementation Status of Prior State Auditor's Office Recommendations	17-031	04/25/2017	
---	------------------------	------------	--

Department of Motor Vehicles

An Audit Report on Complaint Processing at the Department of Motor Vehicles	17-036	05/23/2017	● ● ● ●
---	------------------------	------------	--

Department of Transportation

A Report on the Self-reported Implementation of Sunset Advisory Commission Management Actions	19-012	11/30/2018	
An Audit Report on Vehicle Fleet Management at Selected State Entities	19-006	10/29/2018	● ● ● ●
State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2017	18-555	02/28/2018	





Audit Highlights

Section 1 - Audit and Review

Report Title	Report Number	Release Date	Report Ratings
--------------	---------------	--------------	----------------

Department of Transportation (continued)

State of Texas Federal Portion of the Statewide Single Audit Report for the Year Ended August 31, 2017	18-314	02/28/2018	
A Report on Analysis of Quality Assurance Team Projects	17-047	08/29/2017	
A Report on the Implementation Status of Prior State Auditor's Office Recommendations	17-031	04/25/2017	
State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2016	17-555	02/28/2017	
State of Texas Federal Portion of the Statewide Single Audit Report for the Fiscal Year Ended August 31, 2016	17-314	02/28/2017	

Texas Workforce Commission

A Report on Analysis of Quality Assurance Team Projects	19-007	11/09/2018	
State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2017	18-555	02/28/2018	
State of Texas Federal Portion of the Statewide Single Audit Report for the Year Ended August 31, 2017	18-314	02/28/2018	
A Report on the Implementation Status of Prior State Auditor's Office Recommendations	18-021	02/28/2018	
An Audit Report on Selected Grants to Public Community Colleges at the Texas Workforce Commission	17-040	07/20/2017	● ● ● ●
State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2016	17-555	02/28/2017	
State of Texas Federal Portion of the Statewide Single Audit Report for the Fiscal Year Ended August 31, 2016	17-314	02/28/2017	





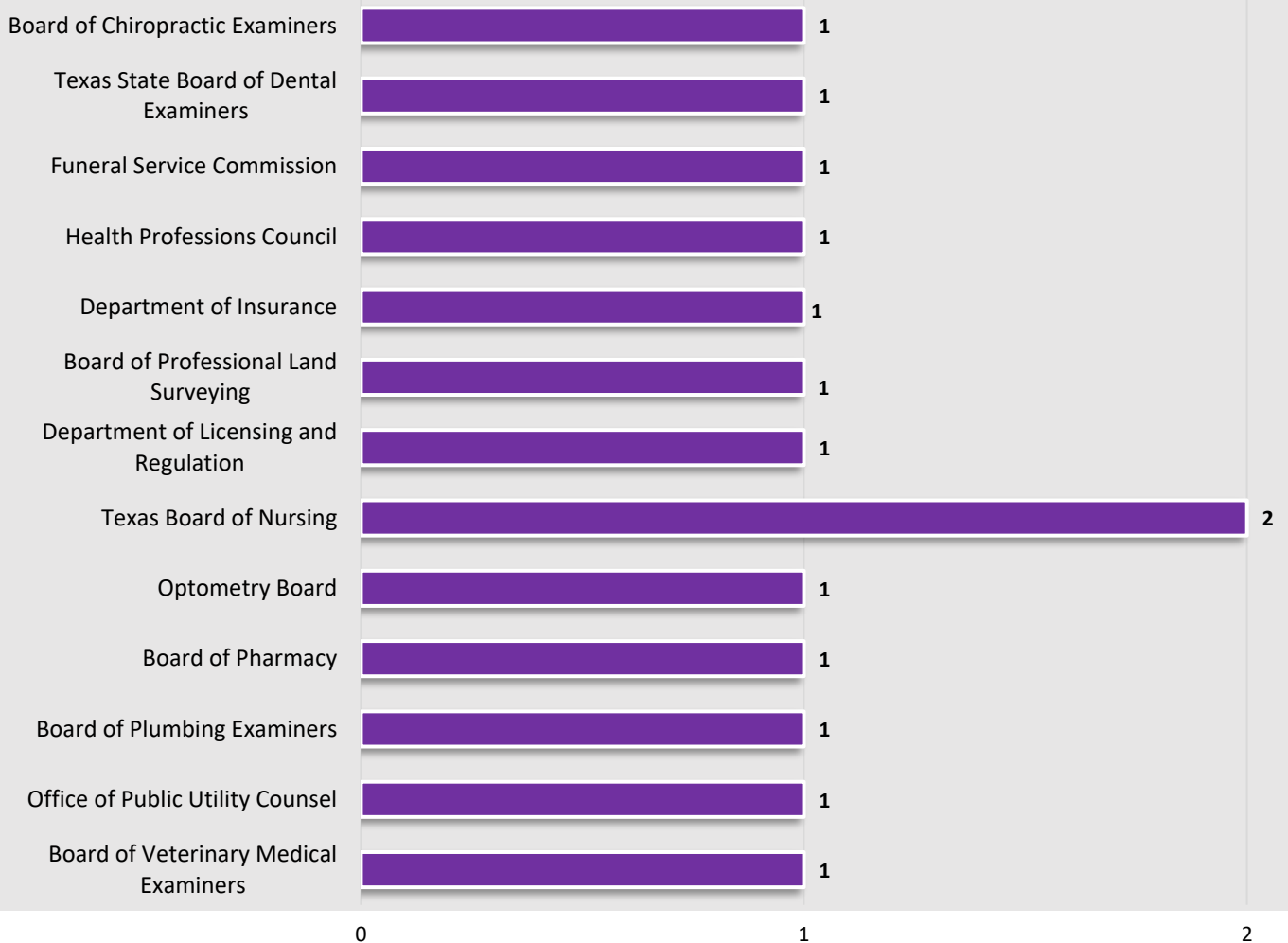
Audit Highlights

Section 1 - Audit and Review

Article VIII: Regulatory

The figure below shows the SAO's audit and review reports released from January 2017 through December 2018 that address agencies in Article VIII of the General Appropriations Act. Because SAO audits may address more than one agency, a report could be captured in multiple areas in the figure below.

Reports by Article VIII Agency





Audit Highlights

Section 1 - Audit and Review

Report Title	Report Number	Release Date	Report Ratings
Board of Chiropractic Examiners			
A Report on the Self-reported Implementation of Sunset Advisory Commission Management Actions	19-012	11/30/2018	
Texas State Board of Dental Examiners			
An Audit Report on Financial Processes at the Texas State Board of Dental Examiners	18-043	08/28/2018	● ● ● ●
Funeral Service Commission			
An Audit Report on Enforcement Activities at the Funeral Service Commission	17-033	05/09/2017	● ● ● ●
Health Professions Council			
An Audit Report on Information Technology Services at the Health Professions Council	18-034	06/22/2018	● ● ● ●
Department of Insurance			
An Audit Report on Financial Processes at the Department of Insurance	18-039	07/27/2018	● ● ● ●
Board of Professional Land Surveying			
A Report on the Implementation Status of Prior State Auditor's Office Recommendations	18-021	02/28/2018	
Department of Licensing and Regulation			
A Report on the Self-reported Implementation of Sunset Advisory Commission Management Actions	19-012	11/30/2018	





Audit Highlights

Section 1 - Audit and Review

Report Title	Report Number	Release Date	Report Ratings
Texas Board of Nursing			
A Report on the Self-reported Implementation of Sunset Advisory Commission Management Actions	19-012	11/30/2018	
A Report on the Implementation Status of Prior State Auditor's Office Recommendations	17-031	04/25/2017	
Optometry Board			
A Report on the Self-reported Implementation of Sunset Advisory Commission Management Actions	19-012	11/30/2018	
Board of Pharmacy			
A Report on the Self-reported Implementation of Sunset Advisory Commission Management Actions	19-012	11/30/2018	
Board of Plumbing Examiners			
An Audit Report on Performance Measures at the Board of Plumbing Examiners	18-017	02/08/2018	
Office of Public Utility Counsel			
An Audit Report on Performance Measures at the Office of Public Utility Counsel	18-027	04/27/2018	
Board of Veterinary Medical Examiners			
A Report on the Self-reported Implementation of Sunset Advisory Commission Management Actions	19-012	11/30/2018	





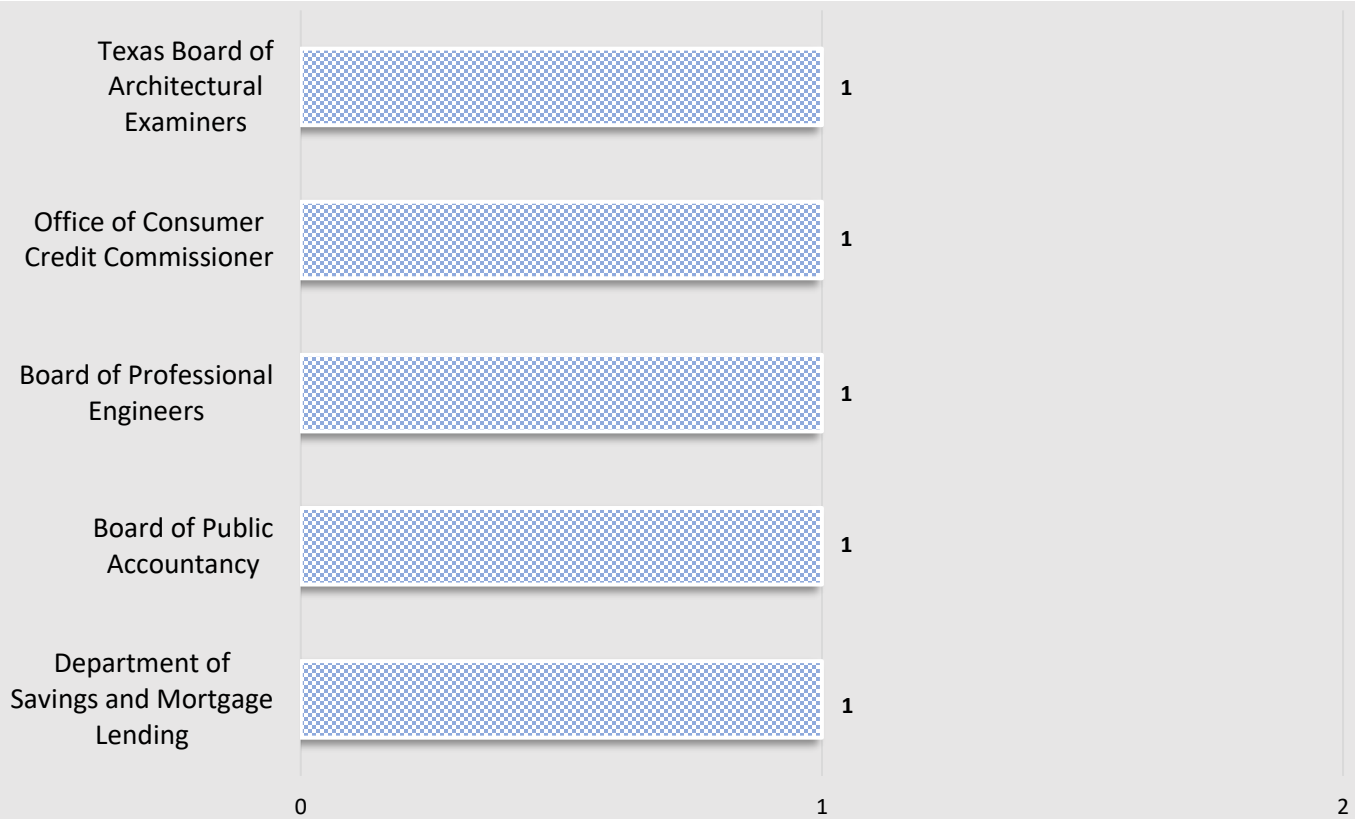
Audit Highlights

Section 1 - Audit and Review

Self-directed, Semi-independent Agencies

The figure below shows the SAO's audit and review reports released from January 2017 through December 2018 that address self-directed, semi-independent (SDSI) agencies.

Reports by SDSI Agency





Audit Highlights

Section 1 - Audit and Review

Report Title	Report Number	Release Date	Report Ratings
Texas Board of Architectural Examiners			
An Audit Report on the Texas Board of Architectural Examiners: A Self-directed, Semi-independent Agency	18-014	01/24/2018	● ● ● ●
Office of Consumer Credit Commissioner			
An Audit Report on the Office of Consumer Credit Commissioner: A Self-directed, Semi-Independent Agency	17-020	01/17/2017	● ● ● ●
Board of Professional Engineers			
An Audit Report on the Board of Professional Engineers: A Self-directed, Semi-independent Agency	18-016	02/05/2018	● ● ● ●
Board of Public Accountancy			
An Audit Report on the Board of Public Accountancy: A Self-directed, Semi-independent Agency	18-007	12/15/2017	● ● ● ●
Department of Savings and Mortgage Lending			
An Audit Report on the Department of Savings and Mortgage Lending: A Self-directed, Semi-independent Agency	17-034	05/12/2017	● ● ● ●





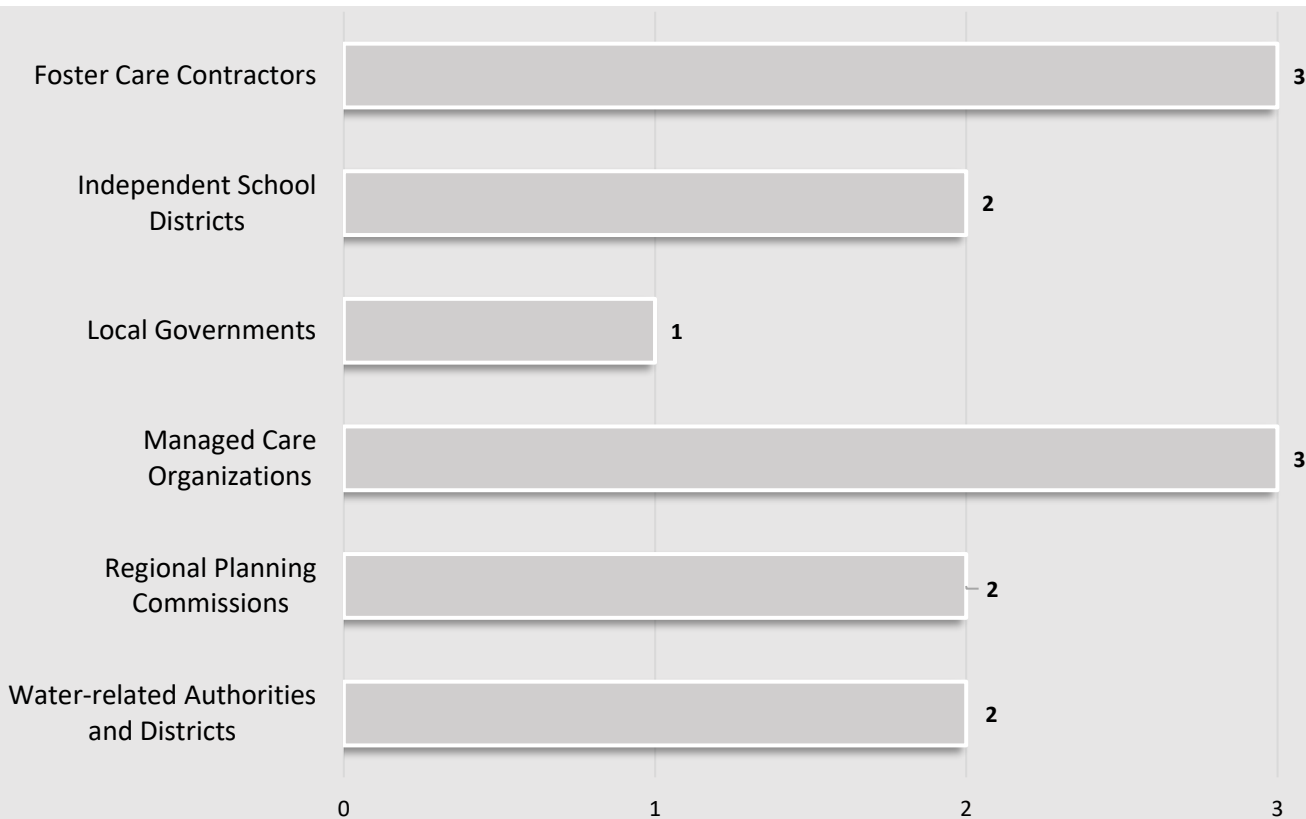
Audit Highlights

Section 1 - Audit and Review

Non-State Entities

The figure below shows the SAO's audit and review reports released from January 2017 through December 2018 that address non-state entities.

Reports by Non-state Entity Type





Audit Highlights

Section 1 - Audit and Review

Report Title	Report Number	Release Date	Report Ratings
--------------	---------------	--------------	----------------

Foster Care Contractors

An Audit Report on On-site Financial Audits of Selected Residential Foster Care Contractors	19-004	10/10/2018	● ● ● ●
---	------------------------	------------	--

Entities included:

- The Children's Home of Lubbock and Family Service Agency, Inc.
- The El Paso Center for Children, Inc.
- Willow Bend Center
- High Sky Children's Ranch, Inc.

An Audit Report on Foster Care Redesign at the Department of Family and Protective Services	18-022	03/12/2018	● ● ● ●
---	------------------------	------------	--

An Audit Report on On-site Financial Audits of Selected Residential Foster Care Contractors	18-004	10/31/2017	● ● ● ●
---	------------------------	------------	--

Entities included:

- Angel Wings Family Services, Inc.
- Azelway, Inc.
- The Bair Foundation of Texas
- Benchmark Family Services, Inc.
- The Settlement Club, Inc.

Independent School Districts

An Audit Report on Selected Major Agreements Under the Texas Economic Development Act	18-037	07/17/2018	● ● ● ●
---	------------------------	------------	--

Entities included:

- Office of the Comptroller of Public Accounts
- Texas Education Agency
- Pecos-Barstow-Toyah Independent School District
- Dimmitt Independent School District
- Highland Independent School District

An Audit Report on Selected Major Agreements Under the Texas Economic Development Act	17-043	07/31/2017	● ● ● ●
---	------------------------	------------	---

Entities included:

- Office of the Comptroller of Public Accounts
- Corrigan-Camden Independent School District
- Port Arthur Independent School District
- Roscoe Collegiate Independent School District





Audit Highlights

Section 1 - Audit and Review

Report Title	Report Number	Release Date	Report Ratings
--------------	---------------	--------------	----------------

Local Governments

A Report on State Agency Reporting Requirements for Local Governments	17-026	02/21/2017	
---	------------------------	------------	--

Managed Care Organizations

An Audit Report on Amerigroup Texas, Inc. and Amerigroup Insurance Company, a Managed Care Organization	19-011	11/27/2018	● ● ● ●
---	------------------------	------------	---------

An Audit Report on the Health and Human Services Commission's Management of Its Medicaid Managed Care Contract with Superior HealthPlan, Inc. and Superior HealthPlan Network, and Superior's Compliance with Reporting Requirements	18-015	01/25/2018	● ● ● ●
--	------------------------	------------	---------

An Audit Report on HealthSpring Life and Health Insurance Company, Inc., a Medicaid STAR+PLUS Managed Care Organization	17-025	02/15/2017	● ● ● ●
---	------------------------	------------	---------

Regional Planning Commissions

A Summary of Financial and Performance Reports Submitted by Regional Planning Commissions	19-013	12/17/2018	
---	------------------------	------------	--

A Summary of Financial and Performance Reports Submitted by Regional Planning Commissions	18-003	10/27/2017	
---	------------------------	------------	--





Audit Highlights

Section 1 - Audit and Review

Report Title	Report Number	Release Date	Report Ratings
--------------	---------------	--------------	----------------

Water-related Authorities and Districts

A Report on the Self-reported Implementation of Sunset Advisory Commission Management Actions	19-012	11/30/2018	
Entities included:			
<ul style="list-style-type: none"> Palo Duro Water District 	<ul style="list-style-type: none"> Sulphur River Basin Authority 	<ul style="list-style-type: none"> Upper Colorado River Authority 	
An Audit Report on Selected Groundwater Conservation Districts	18-030	05/24/2018	● ● ● ●
Entities included:			
<ul style="list-style-type: none"> Brush Country Groundwater Conservation District Sarr County Groundwater Conservation District 	<ul style="list-style-type: none"> Duval County Groundwater Conservation District Terrell County Groundwater Conservation District 	<ul style="list-style-type: none"> Post Oak Savannah Groundwater Conservation District 	





Audit Highlights

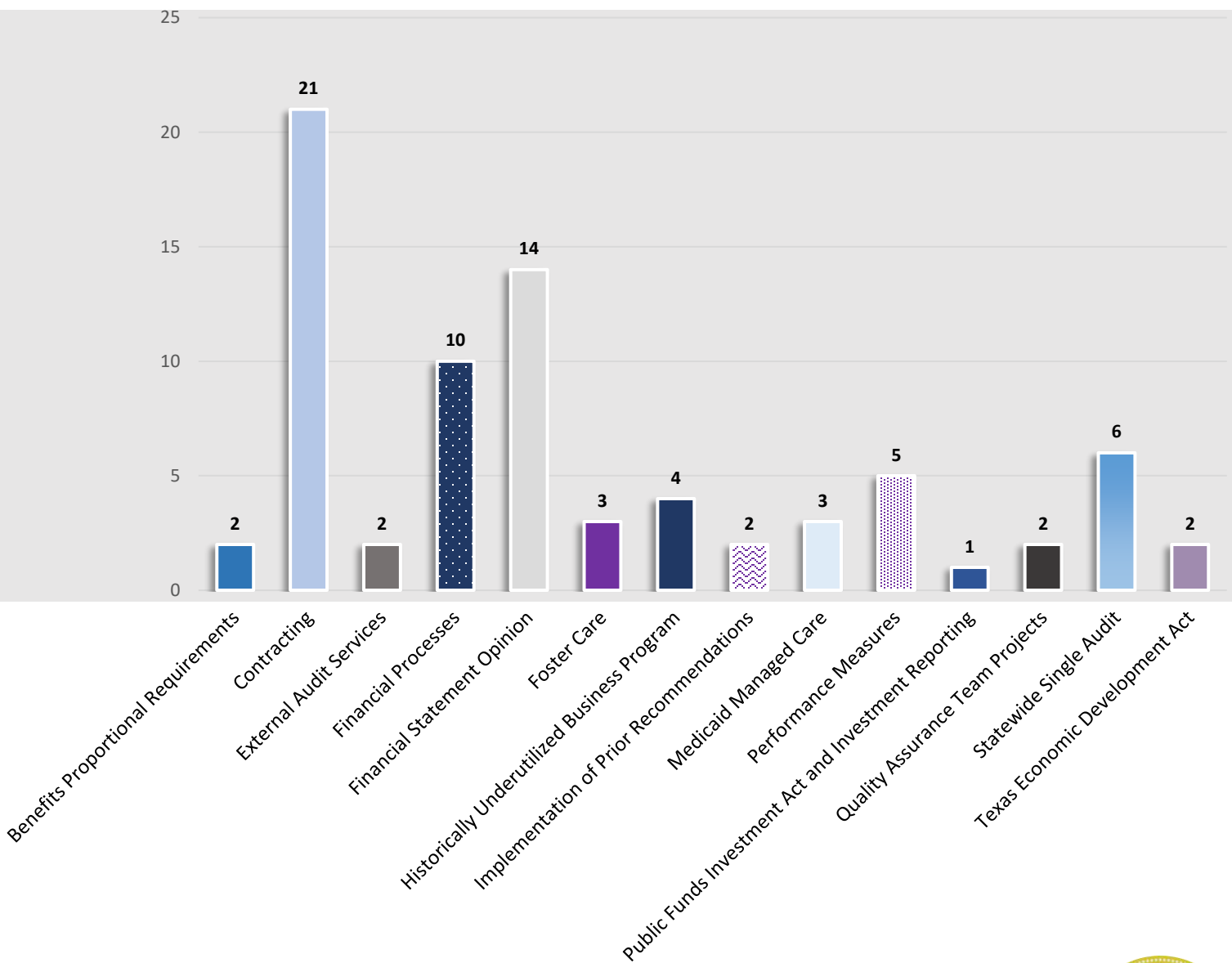
Section 2 – Reports by Subject Matter

Reports in Section 2 are listed by subject matter. Each report is hyperlinked to the full report on the SAO Web site.

The figure below shows the SAO's audit and review reports released from January 2017 through December 2018 by topic. Because SAO audits may address many topics, a report could be captured in multiple areas in the figure below.

In addition to the topics listed in the figure below, a significant portion of the audits that the SAO performed included work related to information technology controls.

Reports by Subject Matter





Audit Highlights

Section 2 – Reports by Subject Matter

Report Title	Report Number	Release Date	Report Ratings
Benefits Proportional Requirements			
An Audit Report on Selected Higher Education Institutions' Compliance with Benefits Proportional Requirements	18-020	02/20/2018	● ○ ○ ○
An Audit Report on the University of Texas at El Paso's Compliance with Benefits Proportional Requirements	17-022	02/01/2017	● ○ ○ ●
Contracting			
A Summary Report on Senate Bill 1289 Provisions Related to the Water Development Board's Financial Assistance of Construction Projects	19-019	12/28/2018	
An Audit Report on Selected Capitol Complex Project Contracts at the Texas Facilities Commission	19-016	12/20/2018	● ● ● ○
An Audit Report on Amerigroup Texas, Inc. and Amerigroup Insurance Company, a Managed Care Organization	19-011	11/27/2018	● ● ● ○
An Audit Report on Selected Contracts at the Health and Human Services Commission	19-010	11/26/2018	● ● ● ●
An Audit Report on a Selected Contract at the University of North Texas System	19-001	09/04/2018	● ● ○ ○
An Audit Report on Selected Contracts at the Texas Education Agency	18-044	08/31/2018	● ● ● ●
An Audit Report on Scoring and Evaluation of Selected Procurements at the Health and Human Services Commission	18-038	07/13/2018	○ ○ ○ ●
An Audit Report on the Health and Human Services Commission's Family Violence Program	18-028	05/11/2018	● ● ○ ○
An Audit Report on a Selected Contract at the Department of State Health Services	18-025	04/12/2018	● ● ● ●





Audit Highlights

Section 2 – Reports by Subject Matter

Report Title	Report Number	Release Date	Report Ratings
Contracting (continued)			
An Audit Report on Selected Facilities Support Services Agreements and Special Projects at Selected Universities in the Texas A&M University System	18-024	04/12/2018	● ● ● ●
An Audit Report on Foster Care Redesign at the Department of Family and Protective Services	18-022	03/12/2018	● ● ● ●
An Audit Report on the Health and Human Services Commission's Management of Its Medicaid Managed Care Contract with Superior HealthPlan, Inc. and Superior HealthPlan Network, and Superior's Compliance with Reporting Requirements	18-015	01/25/2018	● ● ● ●
An Audit Report on Contracting Processes in the Parks and Wildlife Department's Infrastructure Division	18-008	12/15/2017	● ● ● ●
A Report on Health and Human Services Commission Contracts	18-006	12/06/2017	● ● ● ●
An Audit Report on Selected Contracts at Stephen F. Austin State University	17-042	07/27/2017	● ● ● ●
An Audit Report on Selected Contracts at the Commission on State Emergency Communications	17-041	07/27/2017	● ● ● ●
An Audit Report on Selected Contracts at the Office of the Attorney General	17-039	06/14/2017	● ● ● ●
An Audit Report on Selected Contracts at the Department of Information Resources	17-038	06/14/2017	● ● ● ●
An Audit Report on Selected Contracts at the Department of Criminal Justice	17-032	05/02/2017	● ● ● ●
An Audit Report on Selected Contracts at the Commission on Environmental Quality	17-029	03/28/2017	● ● ● ●
An Audit Report on HealthSpring Life and Health Insurance Company, Inc., a Medicaid STAR+PLUS Managed Care Organization	17-025	02/15/2017	● ● ● ●





Audit Highlights

Section 2 – Reports by Subject Matter

Report Title	Report Number	Release Date	Report Ratings
--------------	---------------	--------------	----------------

External Audit Services

A Report on the Delegation of Authority to State Entities to Contract for External Audit Services [18-023](#) 03/23/2018

A Report on the Delegation of Authority to State Entities to Contract for External Audit Services [17-023](#) 02/06/2017

Financial Processes

An Audit Report on Financial Processes at the Texas A&M Forest Service [19-009](#) 11/27/2018 ● ● ● ●

An Audit Report on Financial Processes at the Texas State Board of Dental Examiners [18-043](#) 08/28/2018 ● ● ● ●

An Audit Report on Financial Processes at the Department of Insurance [18-039](#) 07/27/2018 ● ● ● ●

An Audit Report on Financial Processes at the Department of Criminal Justice [18-035](#) 06/28/2018 ● ● ● ●

An Audit Report on Financial Processes at the State Office of Risk Management [18-032](#) 06/12/2018 ● ● ● ●

An Audit Report on Financial Processes at the Veterans Commission [18-031](#) 06/06/2018 ● ● ● ●

An Audit Report on Financial Processes at the Military Department [18-010](#) 12/22/2017 ● ● ● ●

An Audit Report on Financial Processes at the Office of Court Administration [17-048](#) 08/31/2017 ● ● ● ●

An Audit Report on Financial Processes at the Alcoholic Beverage Commission [17-044](#) 07/28/2017 ● ● ● ●

An Audit Report on Financial Processes at the Commission on the Arts [17-035](#) 05/19/2017 ● ● ● ●





Audit Highlights

Section 2 – Reports by Subject Matter

Report Title	Report Number	Release Date	Report Ratings
Financial Statement Opinion			
A Report on the Audit of the Employees Retirement System's Fiscal Year 2018 Financial Statements	19-018	12/28/2018	
A Report on the Audit of the Department of Housing and Community Affairs' Fiscal Year 2018 Financial Statements	19-017	12/28/2018	
A Report on the Audit of the Teacher Retirement System's Fiscal Year 2018 Financial Statements	19-015	12/20/2018	
A Report on the Audit of the Employees Retirement System's Fiscal Year 2017 Employer Pension and Other Post Employment Benefits Liability Allocation Schedules	18-040	07/26/2018	
A Report on the Audit of the Teacher Retirement System's Fiscal Year 2017 Employer Pension and Other Post Employment Benefits Liability Allocation Schedules	18-033	06/14/2018	
State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2017	18-555	02/28/2018	
A Report on the Audit of the Permanent School Fund's Fiscal Year 2017 Financial Statements	18-013	12/29/2017	
A Report on the Audit of the Department of Housing and Community Affairs' Fiscal Year 2017 Financial Statements	18-012	12/29/2017	
A Report on the Audit of the Employees Retirement System's Fiscal Year 2017 Financial Statements	18-011	12/29/2017	
An Audit Report on the Audit of the Teacher Retirement System's Fiscal Year 2017 Financial Statements	18-005	11/27/2017	
An Audit Report on the Audit of Teacher Retirement System's Fiscal Year 2016 Employer Pension Liability Allocation Schedules	17-037	06/05/2017	





Audit Highlights

Section 2 – Reports by Subject Matter

Report Title	Report Number	Release Date	Report Ratings
--------------	---------------	--------------	----------------

Financial Statement Opinion (continued)

State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2016 [17-555](#) 02/28/2017

A Report on the Audit of the Employees Retirement System's Fiscal Year 2016 Pension Schedules [17-021](#) 02/03/2017

A Report on the Audit of the Permanent School Fund's Fiscal Year 2016 Financial Statements [17-019](#) 01/13/2017

Foster Care

An Audit Report on On-site Financial Audits of Selected Residential Foster Care Contractors [19-004](#) 10/10/2018 ● ● ● ●

Entities included:

- The Children's Home of Lubbock and Family Service Agency, Inc.
- The El Paso Center for Children, Inc.
- Willow Bend Center
- High Sky Children's Ranch, Inc.

An Audit Report on Foster Care Redesign at the Department of Family and Protective Services [18-022](#) 03/12/2018 ● ● ● ●

An Audit Report on On-site Financial Audits of Selected Residential Foster Care Contractors [18-004](#) 10/31/2017 ● ● ● ●

Entities included:

- Angel Wings Family Services, Inc.
- Azelway, Inc.
- Benchmark Family Services, Inc.
- The Settlement Club, Inc.
- The Bair Foundation of Texas

Historically Underutilized Business Program

An Audit Report on Angelo State University's Compliance with Requirements Related to the Historically Underutilized Business and State Use Programs [19-008](#) 11/13/2018 ● ● ● ●





Audit Highlights

Section 2 – Reports by Subject Matter

Report Title	Report Number	Release Date	Report Ratings
--------------	---------------	--------------	----------------

Historically Underutilized Business Program (continued)

An Audit Report on the University of Texas Southwestern Medical Center's Compliance with Requirements Related to the Historically Underutilized Business and State Use Programs	18-026	04/23/2018	● ● ● ●
An Audit Report on the Texas Facilities Commission's Compliance with Requirements Related to the Historically Underutilized Business and State Use Programs	17-030	04/14/2017	● ● ● ●
An Audit Report on the University of Texas Medical Branch at Galveston's Compliance with Requirements Related to the Historically Underutilized Business and State Use Programs	17-028	03/20/2017	● ● ● ●

Implementation of Prior Recommendations

A Report on the Implementation Status of Prior State Auditor's Office Recommendations	18-021	02/28/2018	
---	------------------------	------------	--

Entities included:

- Commission on the Arts
- Department of Family and Protective Services
- Board of Professional Land Surveying
- Texas Workforce Commission
- Office of Court Administration
- Health and Human Services Commission
- Texas Public Finance Authority
- Commission on Environmental Quality
- Parks and Wildlife Department
- Department of State Health Services

A Report on the Implementation Status of Prior State Auditor's Office Recommendations	17-031	04/25/2017	
---	------------------------	------------	--

Entities included:

- Department of Agriculture
- General Land Office
- Texas Board of Nursing
- Office of the Comptroller of Public Accounts
- Department of Public Safety
- Employees Retirement System
- Texas Lottery Commission
- Department of Transportation





Audit Highlights

Section 2 – Reports by Subject Matter

Report Title	Report Number	Release Date	Report Ratings
--------------	---------------	--------------	----------------

Medicaid Managed Care

An Audit Report on Amerigroup Texas, Inc. and Amerigroup Insurance Company, a Managed Care Organization	19-011	11/27/2018	● ● ● ●
An Audit Report on the Health and Human Services Commission's Management of Its Medicaid Managed Care Contract with Superior HealthPlan, Inc. and Superior HealthPlan Network, and Superior's Compliance with Reporting Requirements	18-015	01/25/2018	● ● ● ●
An Audit Report on HealthSpring Life and Health Insurance Company, Inc., a Medicaid STAR+PLUS Managed Care Organization	17-025	02/15/2017	● ● ● ●

Performance Measures

An Audit Report on Performance Measures at the Commission on Fire Protection	19-002	09/18/2018	
An Audit Report on Performance Measures at the Juvenile Justice Department	18-042	08/13/2018	● ● ● ●
An Audit Report on Performance Measures at the Office of Public Utility Counsel	18-027	04/27/2018	
An Audit Report on Performance Measures at the Board of Plumbing Examiners	18-017	02/08/2018	
An Audit Report on Performance Measures at the Cancer Prevention and Research Institute of Texas	18-009	12/20/2017	





Audit Highlights

Section 2 – Reports by Subject Matter

Report Title	Report Number	Release Date	Report Ratings
--------------	---------------	--------------	----------------

Public Funds Investment Act and Investment Reporting

A Report on Agencies', Higher Education Institutions', and Community Colleges' Compliance with Public Investment Reporting Requirements	18-029	05/22/2018	
---	------------------------	------------	--

Quality Assurance Team Projects

A Report on Analysis of Quality Assurance Team Projects	19-007	11/09/2018	
---	------------------------	------------	--

- Entities included:
- Office of the Comptroller of Public Accounts
 - Texas Workforce Commission
 - Commission on State Emergency Communications
 - Department of State Health Services

A Report on Analysis of Quality Assurance Team Projects	17-047	08/29/2017	
---	------------------------	------------	--

- Entities included:
- Health and Human Services Commission
 - Department of Public Safety
 - Department of Transportation

Statewide Single Audit

State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2017	18-555	02/28/2018	
--	------------------------	------------	--

State of Texas Federal Portion of the Statewide Single Audit Report for the Year Ended August 31, 2017	18-314	02/28/2018	
--	------------------------	------------	--

A Report on State of Texas Compliance with Federal Requirements for the Student Financial Assistance Cluster for the Fiscal Year Ended August 31, 2017	18-019	02/22/2018	
--	------------------------	------------	--

State of Texas Financial Portion of the Statewide Single Audit Report for the Year Ended August 31, 2016	17-555	02/28/2017	
--	------------------------	------------	--





Audit Highlights

Section 2 – Reports by Subject Matter

Report Title	Report Number	Release Date	
Statewide Single Audit (continued)			
State of Texas Federal Portion of the Statewide Single Audit Report for the Fiscal Year Ended August 31, 2016	17-314	02/28/2017	
A Report on State of Texas Compliance with Federal Requirements for the Student Financial Assistance Cluster for the Fiscal Year Ended August 31, 2016	17-027	02/22/2017	
Texas Economic Development Act			
An Audit Report on Selected Major Agreements Under the Texas Economic Development Act	18-037	07/17/2018	● ● ● ●
Entities included:			
<ul style="list-style-type: none"> ▪ Office of the Comptroller of Public Accounts ▪ Dimmitt Independent School District 	<ul style="list-style-type: none"> ▪ Texas Education Agency ▪ Highland Independent School District 	<ul style="list-style-type: none"> ▪ Pecos-Barstow-Toyah Independent School District 	
An Audit Report on Selected Major Agreements Under the Texas Economic Development Act	17-043	07/31/2017	● ● ● ●
Entities included:			
<ul style="list-style-type: none"> ▪ Office of the Comptroller of Public Accounts ▪ Roscoe Collegiate Independent School District 	<ul style="list-style-type: none"> ▪ Corrigan-Camden Independent School District 	<ul style="list-style-type: none"> ▪ Port Arthur Independent School District 	





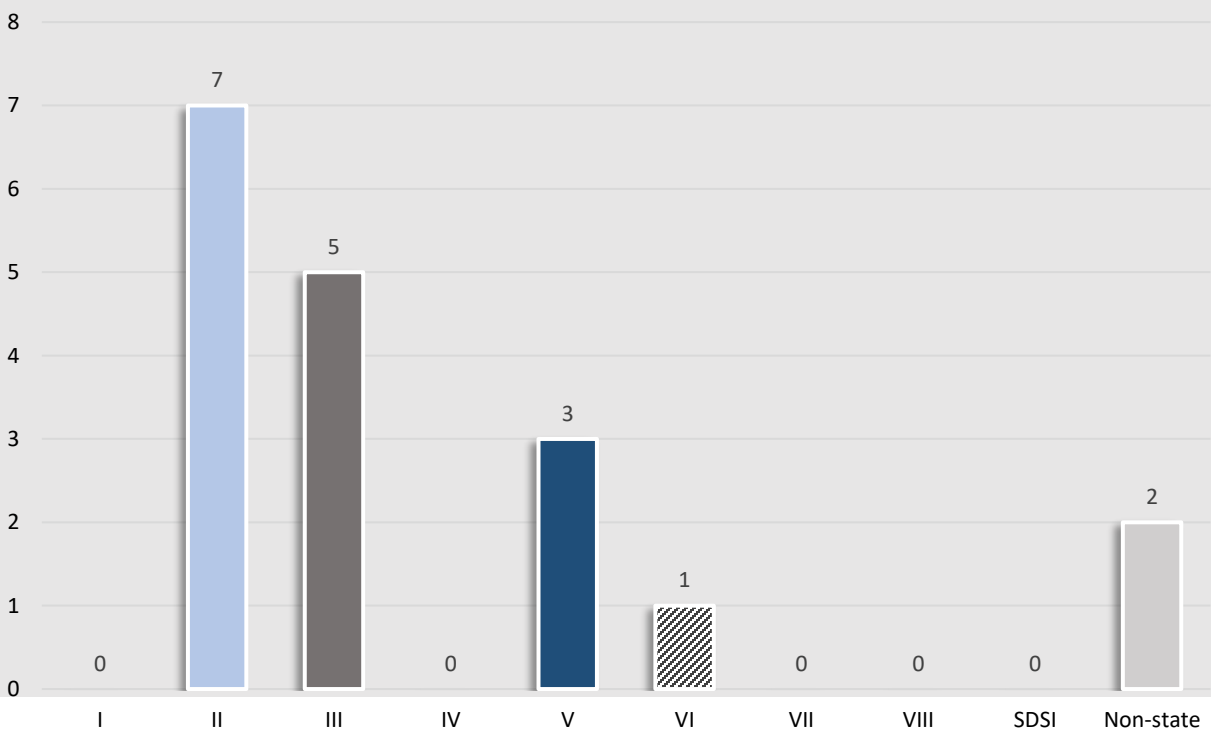
Audit Highlights

Section 3 – Reports with Priority Ratings

Section 3 includes reports that received a priority issue rating, which represents the most significant audit findings. These issues present risks or effects that if not addressed could critically affect the audited entity's ability to effectively administer the program(s)/function(s) audited. Individual results contribute to the overall chapter/sub-chapter issue ratings. Each report is hyperlinked to the full report on the SAO Web site.

The figure below shows the priority ratings issued by GAA Article in the SAO's 11 audit and review reports that included the rating and were released from January 2017 through December 2018.

Priority Ratings by GAA Article





Audit Highlights

Section 3 – Reports with Priority Ratings

Report Title	Report Number	Release Date	Report Ratings
Priority Rated Findings			
An Audit Report on the Department of Public Safety's Driver License Division	19-014	12/17/2018	● ● ● ●
An Audit Report on Selected Contracts at the Health and Human Services Commission	19-010	11/26/2018	● ● ● ●
An Audit Report on Selected Contracts at the Texas Education Agency	18-044	08/31/2018	● ● ● ●
An Audit Report on Performance Measures at the Juvenile Justice Department	18-042	08/13/2018	● ● ● ●
An Audit Report on Scoring and Evaluation of Selected Procurements at the Health and Human Services Commission	18-038	07/13/2018	● ● ● ●
An Audit Report on Selected Groundwater Conservation Districts	18-030	05/24/2018	● ● ● ●
An Audit Report on a Selected Contract at the Department of State Health Services	18-025	04/12/2018	● ● ● ●
An Audit Report on Selected Facilities Support Services Agreements and Special Projects at Selected Universities in the Texas A&M University System	18-024	04/12/2018	● ● ● ●
An Audit Report on the Health and Human Services Commission's Management of Its Medicaid Managed Care Contract with Superior HealthPlan, Inc. and Superior HealthPlan Network, and Superior's Compliance with Reporting Requirements	18-015	01/25/2018	● ● ● ●
An Audit Report on Fees at the Department of Agriculture	17-049	08/31/2017	● ● ● ●
An Audit Report on the University of Texas at El Paso's Compliance with Benefits Proportional Requirements	17-022	02/01/2017	● ● ● ●





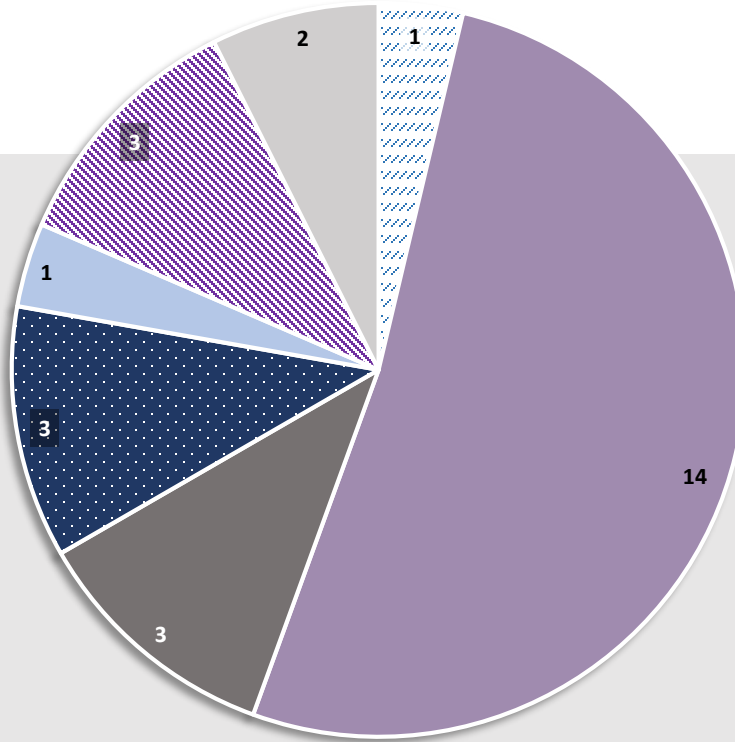
Audit Highlights

Section 4 – State Classification Team

Reports, resources, and guidance released or revised by the SAO's State Classification Team are listed in Section 4 by subject matter. Each report is hyperlinked to the full report on the State Classification Team's Web site.

The figure below shows the 27 State Classification Team reports, resources, and guides released from January 2017 through December 2018 by topic.

Classification Reports, Resources, and Guides by Topic



- Benefits as a Percent of Total Compensation
- Employee Turnover
- Human Resources Management
- Workforce Planning and Summaries
- Compensation and Classification
- Full-time Equivalent (FTE) Employees
- Leave

State Classification Team Web site: <http://www.hr.sao.texas.gov>





Audit Highlights

Section 4 – State Classification Team

Report Title	Report Number	Release Date	Report Ratings
--------------	---------------	--------------	----------------

Benefits as a Percent of Total Compensation

A Report on State Employee Benefits as a Percentage of Total Compensation	18-704	04/27/2018	
---	------------------------	------------	--

Compensation and Classification

A Biennial Report on the State's Position Classification Plan for the 2020-2021 Biennium	19-702	10/01/2018	
--	------------------------	------------	--

A Report on the State's Law Enforcement Salary Schedule (Salary Schedule C) for the 2020-2021 Biennium	19-701	09/04/2018	
--	------------------------	------------	--

A Report on Executive Compensation at State Agencies	18-705	08/31/2018	
--	------------------------	------------	--

A Classification Compliance Audit Report on Information Technology Positions at Selected Education Agencies	18-701	10/04/2017	● ○ ○ ○
---	------------------------	------------	---------

Entities included:

- School for the Blind and Visually Impaired
- School for the Deaf
- Texas Education Agency
- Teacher Retirement System

A Report on Salary Supplements at Public Higher Education Institutions and State Agencies	17-707	03/17/2017	
---	------------------------	------------	--

| Compensation and Classification Resources and Guides

Military Crosswalk Guide [DEC 2017](#)

Job Classification Review Guide [NOV 2017](#)

Military Pay Differential Guidelines [NOV 2017](#)

Military Crosswalk [SEP 2017](#)

Conversion Manual [AUG 2017](#)

Conversion Manual Guide [AUG 2017](#)





Audit Highlights

Section 4 – State Classification Team

Report Title	Report Number	Release Date	Report Ratings
--------------	---------------	--------------	----------------

| Compensation and Classification Resources and Guides (continued)

New and Revised Job Descriptions for the 2018-2019 Biennium		AUG 2017	
Job Description Guide		AUG 2017	
Electronic Classification Analysis System (E-Class)		Ongoing	

Employee Turnover

An Annual Report on Classified Employee Turnover for Fiscal Year 2018	19-703	12/14/2018	
An Annual Report for Classified Employee Turnover for Fiscal Year 2017	18-703	12/15/2017	

| Employee Turnover Resources and Guides

Employee Exit Survey System		Ongoing	
-----------------------------	--	-------------------------	--

Full-time Equivalent (FTE) Employees

A Summary Report on Full-time Equivalent State Employees for Fiscal Year 2017	18-702	12/20/2017	
---	------------------------	------------	--

| FTE Resources and Guides

Full-time Equivalent (FTE) Employee Reporting Instructions and Information		NOV 2017	
Full-Time Equivalent (FTE) State Employee System		Ongoing	

Human Resources Management

Texas Human Resources Management Statutes Inventory	18-303	09/22/2017	
---	------------------------	------------	--





Audit Highlights

Section 4 – State Classification Team

Report Title	Report Number	Release Date	Report Ratings
--------------	---------------	--------------	----------------

Leave

| Leave-related Resources and Guides

Sick Leave Guide		NOV 2017	
Sick Leave Donation Guide		NOV 2017	
Investigation Leave Reporting		OCT 2017	

Workforce Planning and Summaries

Workforce Planning Guide	17-708	08/29/2017	
Texas State Agencies' and Higher Education Institutions' Workforce Summaries	17-706	02/17/2017	





The State Auditor's Office

Audit Highlights: January 2017 – December 2018

*Copies of this report have been
distributed to the following:*

86th Legislature

All members of the 86th Legislature

Office of the Governor

The Honorable Greg Abbott, Governor





The State Auditor's Office

Audit Highlights: January 2017 – December 2018

This document is not copyrighted. Readers may make additional copies of this report as needed. In addition, most State Auditor's Office reports may be downloaded from our Web site: www.sao.texas.gov.

In compliance with the Americans with Disabilities Act, this document may also be requested in alternative formats. To do so, contact our report request line at (512) 936-9500 (Voice), (512) 936-9400 (FAX), 1-800-RELAY-TX (TDD), or visit the Robert E. Johnson Building, 1501 North Congress Avenue, Suite 4.224, Austin, Texas 78701.

The State Auditor's Office is an equal opportunity employer and does not discriminate on the basis of race, color, religion, sex, national origin, age, or disability in employment or in the provision of services, programs, or activities.

To report waste, fraud, or abuse in state government visit <https://sao.fraud.texas.gov>.

